### WEIL SANFORD I Form 4 January 06, 2003

SEC Form 4

[] Check this box if no longer subject to Section 16, Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP       OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden, hours per response0.5         See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Nours per response0.5       OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden, hours per response0.5         I. Name and Address of Reporting Person*       2. Issuer Name and Ticker or Trading Symbol       4. Statement for (Month/Year)       Relationship of Reporting Person(s) to Trading Symbol         United Technologies Corporation One Financial Plaza       3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)       5. If Amendment, Date of Original (Month/Year)       Officer/Other Officer         (City)       (Street)       2. Transaction Date (Instr. 3)       3. Transaction Date (Instr. 3)       4. Sceurities Acquired (A) or Securities Beneficially Owned (Instr. 3, 4, and 5)       5. Mount of Securities Beneficially Owned (Instr. 4)	FORM 4	UNIT	ED STATES (	SECURITII COMMISSI		EXCHANG	Ξ	ON	MB APPROVAL		
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940       hours per response0.5         1. Name and Address of Reporting Person*       2. Issuer Name and Ticker or Trading Symbol       4. Statement for (Month/Year)       6. Relationship of Reporting Person(s) t Issuer         (Last)       (First)       (Middle)       United Technologies Corporation       4. Statement for (Month/Year)       6. Relationship of Reporting Person(s) t Issuer         3. I.R.S. Identification Number of Reporting Person, if an entity (City)       (State)       (Zip)       5. If Amendment, Date of Original (Month/Year)       Other         Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially (Instr. 3)       3. Transaction Date (Month/Day/Year)       3. Transaction Code and Voluntary Code (Instr. 3, 4, and 5)       4. Securities Acquired (A) or S. Amount of Securities Beneficially Owned at End ofMonth       6. Owner- Ship Form: Direct(D)       7. Nature of Indirect	subject to Section 16. Form or Form 5 obligations may continue	n 4	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP						Expires: January 31, 2005		
Weill, Sanford I.       or Trading Symbol       (Month/Year)       Issuer       (Check all applicable)         (Last)       (First)       (Middle)       United Technologies       January 2, 2003       Issuer       (Check all applicable)         United Technologies Corporation One Financial Plaza       3. I.R.S. Identification       Number of Reporting       January 2, 2003       Issuer       Owner       Officer       Owner         (City)       (Street)       3. I.R.S. Identification       Number of Reporting       S. If Amendment,       Officer/Other       Officer/Other       Officer/Other       Description		Ĩ	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility							5	
(Street)       3. I.R.S. Identification       S. If Amendment, Date of Original (Month/Year)       Other         (City)       (State)       (Zip)       S. If Amendment, Other       Other         (City)       (State)       (Zip)       S. If Amendment, Other       Other         Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned       S. If Amendment, Other       Other         1. Title of Security       2. Transaction Date (Month/Day/Year)       3. Transaction       4. Securities Acquired (A) or Other       5. Amount of Securities         Instr. 3)       2. Transaction Date (Month/Day/Year)       3. Transaction       4. Securities Acquired (A) or Other       5. Amount of Securities       6. Owner-ship         Individual Filing       0. Other       Disposed (D) Of (Instr. 3, 4, and 5)       S. Amount of Securities       6. Owner-ship       7. Nature of Indirect Beneficially Owned at End of Month	Weill, Sanford I. (Last) (First) United Technologies Corp	(Middle)	or Trading Syn United Technolo	nbol o <b>gies</b>	(Month/Y	Zear) Is 2, 2003	suer X	(Check al	l applicable)		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned       Mathematical Science in Control of Science in Con	(Stre Hartford, CT 06101	Number of Reporting Person, if an entity		5. If Amendment, Date of Original (Month/Year)		Officer Other Difficer/Other Description 7. Individual or Joint/Group					
1. Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       3. Transaction Code and Voluntary Code (Instr. 3)       4. Securities Acquired (A) or Disposed (D) Of       5. Amount of Securities       6. Owner- ship       7. Nature of Indirect         0       0       0       0       0       9. Amount of Securities       6. Owner- ship       7. Nature of Indirect         0       0       0       0       0       0       0       0         0       0       0       0       0       0       0       0         0       0       0       0       0       0       0       0         0       0       0       0       0       0       0       0       0         0       0       0       0       0       0       0       0       0       0         0						X	Individ	ual Filing	,		
Code   V Amount   A/D   (Instr. 4)	1. Title of Security 2	2. Transaction Date	3. Transaction 4. Code D and Voluntary Code (Instr. 8)	. Securities Acqu Disposed (D) Of (Instr. 3, 4, and Amount	A/D	Securities Beneficially Owned at End ofMonth	ship Forr Dire or Indi	n: ect(D) rect (I)	Indirect Beneficial Ownership		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(over) SEC 1474 (3-99)

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# Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
<ol> <li>Title of Derivative</li> </ol>	2. Conver- sion or	T			6. Date Exercisable(DE) and		8. Price of	9. Number of Derivative	10. Owner-	11. Nature of Indirect
Security (Instr. 3)	Exercise Price of	Date		Derivative Securities	Expiration Date(ED)	Underlying Securities	Derivative Security	Securities Beneficially	ship Form of	Beneficial Ownership

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	Deri- vative Security	(Month/ Day/ Year)	Voluntary (V) Code (Instr.8)	Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	(Month/Day/Year) (DE)   (ED)	(Instr. 3 and 4)	(Instr.5)	at End of Month (Instr.4)	Deriv- ative Security: Direct (D) or Indirect (I)	(Instr.4)
Phantom Stock Unit	\$0.0000	01/02/2003		(A) 1,577.040 (1)		Common Stock - 1,577.040		4,425.590	D	

Explanation of Responses :

insufficient,

\*\* Intentional misstatements or omissions of facts By: By: Charles F. Hildebrand, constitute Federal Criminal Violations. Attorney-in-Fact

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\*\* Signature of Reporting Person Date

cient, Power of Attorney See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

Note: File three copies of this Form, one of

which must be manually signed. If space is

required to respond unless the form displays a currently valid OMB number.

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#### Form 4 (continued)

### FOOTNOTE Descriptions for United Technologies Corporation UTX

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Sanford I. Weill United Technologies Corporation One Financial Plaza Hartford, CT 06101

Explanation of responses:

(1) Consists of Phantom Stock Units acquired at the election of the reporting person in lieu of his/her annual retainer for services as a non-employee director under a company program that permits him/her to defer receipt of the retainer. Upon termination of services on the Board of Directors, all such Phantom Stock Units are payable in cash or in stock.

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