Edgar Filing: INOVIO PHARMACEUTICALS, INC. - Form 4

| | ARMACEUTICA | LS, INC. | | | | | | | | |
|--------------------------------------------------------------------------------|---------------------------------------------------------------------------------|------------------------------|------------------------------------------------------------------------------------------------|------------------------|-----------|------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------|---------------------|--|
| Form 4 May 15, 201 | 7 | | | | | | | | | |
| · | | | | | | | | | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | |
| Check th if no long | ter. | | NCES IN | | | | | Expires: | January 31, 2005 | |
| 0 | subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSE Section 16. SECURITIES | | | | | NEKSHIP OF | Estimated a burden hou | rs per | | |
| Form 5 | Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | response | 0.5 | | |
| obligatio may cont <i>See</i> Instru 1(b). | inue. Section 17(a |) of the Public 30(h) of the | • | . | | | f 1935 or Sectio 40 | n | | |
| (Print or Type I | Responses) | | | | | | | | | |
| Cabrera Angel Symbo INOV | | | 2. Issuer Name and Ticker or Trading Symbol INOVIO PHARMACEUTICALS, INC. [INO] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | | | | | | | |
| 660 W. GEI SUITE 110 | RMANTOWN PH | KE, 05/12 | /2017 | | | | | | | |
| (Street) 4. If Amer | | | | endment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | |
| PI YMOUT | H MEETING, PA | | Ionth/Day/Year) |) | | | Applicable Line) _X_ Form filed by 0 Form filed by N | One Reporting Pe More than One Re | | |
| | | 7: | | | | | Person | | | |
| (City) | (State) (| Zip) Ta | able I - Non-D | erivative S | ecurit | ties Acc | uired, Disposed of | | - | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deeme (Month/Day/Year) Execution any (Month/Da | | Date, if Transaction(A) or Disposed of Code (D) | | | SecuritiesHBeneficially()OwnedIFollowing()Reported() | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 05/13/2017 | | M | 10,000 | A | <u>(1)</u> | 51,750 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Ar Underlying Se (Instr. 3 and 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|----------------------------------------------------------------------------------------------------------|--------|----------------------------------------------------------------|--------------------|------------------------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title |
| Restricted Stock Unit | (2) | 05/12/2017 | | А | 7,669 | | (2) | (2) | Common Stock |
| Common Stock Options | \$ 7.14 | 05/12/2017 | | А | 12,500 | | 05/12/2018 <u>(3)</u> | 05/12/2027 | Common Stock |
| Restricted Stock Unit | <u>(1)</u> | 05/13/2017 | | М | | 10,000 | (1) | (1) | Common Stock |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|----------------------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Cabrera Angel 660 W. GERMANTOWN PIKE, SUITE 110 PLYMOUTH MEETING, PA 19462 | Х | | | | | |
| Signatures | | | | | | |

/s/ Angel Cabrera 05/15/2017 <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of common stock. These restricted stock units vested 100% on May 13, 2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock. These restricted stock units will vest 100% on May 12, 2018.
- (3) Options to vest 100% on May 12, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.