### Edgar Filing: EAST WEST BANCORP INC - Form 4

#### EAST WEST BANCORP INC

Form 4

March 09, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Oh Irene H

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

Symbol

EAST WEST BANCORP INC

[EWBC]

(Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 03/07/2016

135 N. LOS ROBLES AVE. 7TH

**FLOOR** 

PASADENA, CA 91101

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify

below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State) (	State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/07/2016		M	4,901	A	<u>(1)</u>	35,016	D	
Common Stock	03/07/2016		M	4,010	A	(2)	39,026	D	
Common Stock	03/07/2016		F	3,059	D	\$ 32.07	35,967	D	
Common Stock							2,005	I	401 (k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Performance-Based Restricted Stock Units	<u>(1)</u>	03/07/2016		M	4,901	<u>(1)</u>	03/07/2016	Common Stock
Time-Based Restricted Stock Units	<u>(2)</u>	03/07/2016		M	4,010	(2)	03/07/2016(2)	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Oh Irene H

135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101

Chief Financial Officer

### **Signatures**

/s/ Douglas P. Krause,
Attorney-in-fact
03/09/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Performance-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years. Number of vested units depends on meeting pre-established performance criteria. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each vested Performance-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

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Time-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years, vesting is also subject to meeting pre-established earning goals. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each vested Time-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.