Edgar Filing: US CONCRETE INC - Form 4

| US CONCRE | ETE INC | | | | | | | | | | | |
|---|---|----------------------|---|---------------------------------|-------------------------|----------------|---|---|-------------------------------|-------------|--|--|
| Form 4 | 016 | | | | | | | | | | | |
| October 04, 2 | | | | | | | | | | | | |
| FORM | 4 UNITED S | TATES S | SECURI | TIFS AN | ND FXC | 'HAP | NGE (| COMMISSION | т | PPROVAL | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | OMB Number: | 3235-0287 | | | | | |
| Check this | s box | | vv asi | | | | | | | January 31, | | |
| if no longe | er STATEM | ENT OF | CHANO | GES IN B | BENEFI | CIAI | LOW | NERSHIP OF | Expires: 200 | | | |
| subject to Section 16 | | SITTEMENT OF CHARGES | | | | | Estimated average burden hours per response 0.5 | | | | | |
| Form 4 or | | | | | | | | | | | | |
| Form 5 | Filed purs | uant to Se | ection 16 | (a) of the | Securiti | es Ex | chang | ge Act of 1934, | · | | | |
| obligation may contin | |) of the Pu | ublic Uti | lity Holdi | ing Com | pany | Act o | f 1935 or Sectio | n | | | |
| See Instru | | 30(h) of | f the Inv | estment (| Company | / Act | of 19 | 40 | | | | |
| 1(b). | | | | | | | | | | | | |
| (Drint or Tuno D | asponsos) | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading 5. Relationship of | | | | | | | f Reporting Per | son(s) to | | | | |
| Sutherland Colin McGill Symbol | | | | i i tunie unu rieker or riuunig | | | | Issuer | | | | |
| | NCRETE INC [USCR] | | | | (Charle all anglicable) | | | | | | | |
| (Last) | (First) (M | iddle) 3 | B Date of I | Earliest Tra | nsaction | _ | | (Cheo | ck all applicable | e) | | |
| | | | | onth/Day/Year) | | | | X Director 10% Owner | | | | |
| | | | | | | | | Officer (give titleOther (specify | | | | |
| MAIN ST. | | | | | | | | below) | below) | | | |
| (Street) 4. If Amen Filed(Mont | | | | ndment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | | h/Day/Year) | | | | Applicable Line) | | | | |
| | | | | | | | | _X_ Form filed by | | | | |
| EULESS, TX | K 76039 | | | | | | | Form filed by M Person | | porting | | |
| (City) | (State) (2 | Zip) | Table | I - Non-De | rivative S | ecuri | ties A c | quired, Disposed o | f or Reneficial | llv Owned | | |
| 1 Title of | 2. Transaction Date | 24 Daam | | | 4. Securit | | | | | - | | |
| 1.Title of Security | 2. Transaction Date (Month/Day/Year) | Execution | | 3. Transactio | | | r | 5. Amount of Securities | 6. Ownership Form: Direct | Indirect | | |
| (Instr. 3) | | any | ny | | Code Disposed of (D) | | | • | (D) or Ber Indirect (I) Ow | Beneficial | | |
| | | (Month/Da | Day/Year) (Instr. 8) (Instr. 3, 4 and 5 | | | 5) | Owned | Ownership | | | | |
| | | | | | | | | Following Reported | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | | Transaction(s) | | | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common | 10/01/2016 | | | М | 623 | A | \$0 | 11 482 | D | | | |
| stock | 10/01/2010 | | | 1 V1 | 025 | A | φU | 11,482 | D | | | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of ctiorDerivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. l De Sec (In |
|---|---|---|---|--|---|-----|--|--------------------|---|--|--------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted stock units | (1) | 10/01/2016 | | М | | 623 | (2) | (2) | Common stock | 623 | |
| Restricted stock units | (1) | 10/01/2016 | | А | 2,714 | | (3) | (3) | Common stock | 2,714 | |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | | | | | |
|---|----------|------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Sutherland Colin McGill C/O U.S. CONCRETE, INC. 331 N. MAIN ST. EULESS, TX 76039 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ CiCi Sepehri, as Attorney-in-Fact for Colin McGill Sutherland | | | | | | | | |
| <u>**</u> Signature of Re | | Date | | | | | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each Restricted Stock Unit was granted from the U.S. Concrete, Inc. Long Term Incentive Plan and represents the right to receive one (1) share of common stock upon vesting.
- (2) The restricted stock units were granted on October 1, 2015 and will vest quarterly over one year in equal amounts.
- (3) The restricted stock units were granted on October 1, 2016 and will vest quarterly over one year in equal amounts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

4/2016