

ALLIANT ENERGY CORP  
Form U-9C-3  
November 22, 2002

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2002

Filed Pursuant to Rule 58 of the Public Utility Holding Company  
Act of 1935

ALLIANT ENERGY CORPORATION  
4902 N. Biltmore Lane  
Madison, Wisconsin 53718-2132  
608-458-3311

ALLIANT ENERGY CORPORATION  
FORM U-9C-3  
For the Quarter Ended September 30, 2002

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## ITEM 1 - ORGANIZATION CHART (As of September 30, 2002)

| Name of Company<br>(Organization Chart Hierarchy)<br>(Inactive companies denoted with (**))<br>(Reporting companies denoted with (**)) | Energy- or<br>Gas-<br>Related<br>Company | Date of<br>Organization | State of<br>Organization |
|----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|-------------------------|--------------------------|
| Alliant Energy Corporation                                                                                                             | N/A                                      | N/A                     | N/A                      |
| Wisconsin Power and Light Company                                                                                                      | N/A                                      | N/A                     | N/A                      |
| Dais Analytic Corporation (**)                                                                                                         | Energy                                   | 4/19/93                 | New York                 |
| Alliant Energy Resources, Inc.                                                                                                         | N/A                                      | N/A                     | N/A                      |
| ADI Thermal Power, Corp. (**)                                                                                                          | Energy                                   | 8/11/97                 | Washington               |
| American Superconductor Corporation (**)                                                                                               | Energy                                   | 4/9/87                  | Delaware                 |
| AnAerobics, Inc. (**) (NEW)                                                                                                            | Energy                                   | 5/8/96                  | Delaware                 |
| AstroPower, Inc. (**)                                                                                                                  | Energy                                   | 4/28/89                 | Delaware                 |
| CellTech Power, Inc. (**)                                                                                                              | Energy                                   | 5/1/00                  | Massachusetts            |
| Nth Power Technologies Fund II, LP (**)                                                                                                | Energy                                   | 2/25/00                 | California               |
| Proton Energy Systems, Inc. (**)<br>(Also owned by AER Holding Company)                                                                | Energy                                   | 8/16/96                 | Delaware                 |
| SmartEnergy, Inc. (**)                                                                                                                 | Energy                                   | 11/3/00                 | Delaware                 |
| STM Power, Inc. (**) (NEW)                                                                                                             | Energy                                   | 4/1/93                  | Michigan                 |
| AER Holding Company                                                                                                                    | N/A                                      | N/A                     | N/A                      |
| Henwood Energy Services, Inc. (**)                                                                                                     | Energy                                   | 8/9/85                  | California               |

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|                                                                                                          |        |          |                |
|----------------------------------------------------------------------------------------------------------|--------|----------|----------------|
| Proton Energy Systems, Inc. (**)<br>(Also owned by Alliant Energy Resources, Inc.)                       | Energy | 8/16/96  | Delaware       |
| Alliant Energy Integrated Services Company                                                               | N/A    | N/A      | N/A            |
| Industrial Energy Applications, Inc. (**)                                                                | Energy | 9/22/83  | Iowa           |
| BFC Gas Company LC (**)                                                                                  | Energy | 12/7/95  | Iowa           |
| Energys, Inc. (**)                                                                                       | Energy | 6/25/84  | Wisconsin      |
| RMT, Inc. (**)                                                                                           | Energy | 7/29/83  | Wisconsin      |
| Residuals Management Technology,<br>Inc., Ohio (**)                                                      | Energy | 1/22/97  | Ohio           |
| RMT North Carolina, Inc. (**)                                                                            | Energy | 4/6/69   | North Carolina |
| RMT, Inc., Michigan (**)                                                                                 | Energy | 12/15/95 | Michigan       |
| RMT International, Inc. (**)                                                                             | Energy | 10/9/97  | Wisconsin      |
| Heartland Energy Group, Inc. (**)                                                                        | Energy | 6/1/95   | Wisconsin      |
| Industrial Energy Applications<br>Delaware Inc.                                                          | N/A    | N/A      | N/A            |
| Oak Hill Pipeline LP (**)                                                                                | Energy | 4/1/97   | Texas          |
| Alliant South Texas Pipeline, LP (**)<br>(Also owned by Alliant Energy<br>Field Services, LLC)           | Energy | 4/20/99  | Texas          |
| Alliant Energy Desdemona, LP (**)<br>(Also owned by Alliant Energy<br>Field Services, LLC)               | Energy | 2/14/00  | Delaware       |
| Bastian Bay Pipeline, LP (**) (Also<br>owned by Alliant Energy Field<br>Services, LLC)                   | Energy | 8/31/00  | Texas          |
| Alliant Energy Field Services, LLC                                                                       | N/A    | N/A      | N/A            |
| Alliant South Texas Pipeline, LP (**)<br>(Also owned by Industrial Energy<br>Applications Delaware Inc.) | Energy | 4/20/99  | Texas          |
| 3                                                                                                        |        |          |                |
| Alliant Energy Desdemona, LP (**)<br>(Also owned by Industrial Energy<br>Applications Delaware Inc.)     | Energy | 2/14/00  | Delaware       |
| Bastian Bay Pipeline, LP (**) (Also<br>owned by Industrial Energy<br>Applications Delaware Inc.)         | Energy | 8/31/00  | Texas          |
| NG Energy Trading, LLC (**)                                                                              | Energy | 9/26/00  | Oklahoma       |
| Alliant Energy Integrated Services -                                                                     |        |          |                |

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|                                                                    |        |          |               |
|--------------------------------------------------------------------|--------|----------|---------------|
| Energy Solutions LLC (**)                                          | Energy | 1/1/01   | Iowa          |
| Schedin & Associates, Inc. (*) (**)                                | Energy | 6/22/82  | Minnesota     |
| SVBK Consulting Group, Inc. (*) (**)                               | Energy | 7/2/85   | Florida       |
| Alliant Energy Integrated Services -<br>Energy Management LLC (**) | Energy | 1/1/01   | Iowa          |
| Energy Performance Services, Inc. (**)                             | Energy | 12/17/93 | Pennsylvania  |
| Cogenex Corporation (**)                                           | Energy | 9/26/83  | Massachusetts |
| APS Cogenex LLC (**)                                               | Energy | 9/29/95  | Delaware      |
| Energy Capital and Services I, LP (**)                             | Energy | 5/4/90   | Massachusetts |
| Energy Capital and Services II, LP (**)                            | Energy | 11/13/90 | Massachusetts |
| Cogenex West Corporation (**)                                      | Energy | 9/26/83  | Massachusetts |
| EUA Cogenex-Canada Inc. (**)                                       | Energy | 10/11/94 | Canada        |
| EUA Cogenex-Canada Energy<br>Services, Inc. (**)                   | Energy | 12/16/97 | Canada        |
| AGRA Cogenex Joint Venture (**)                                    | Energy | 7/8/97   | Canada        |
| Northeast Energy Management, Inc. (**)                             | Energy | 1/7/94   | Massachusetts |
| Alliant Energy Investments, Inc.                                   | N/A    | N/A      | N/A           |
| Heartland Energy Services, Inc.                                    | N/A    | N/A      | N/A           |
| ReGENco LLC (**)                                                   | Energy | 4/19/99  | Wisconsin     |
| Alliant Energy Synfuel LLC                                         | N/A    | N/A      | N/A           |
| Kaufman & Broad-Nexgen LLC                                         | N/A    | N/A      | N/A           |
| West Virginia Synfuel LP                                           | N/A    | N/A      | N/A           |
| Alpha Synfuel LLC                                                  | N/A    | N/A      | N/A           |
| New River Synfuel LLC (**)                                         | Energy | 10/14/99 | Colorado      |
| Alliant Energy Transportation, Inc.                                | N/A    | N/A      | N/A           |
| Williams Bulk Transfer Inc. (**)                                   | Energy | 4/27/99  | Iowa          |

N/A - Not applicable

(a) The following abbreviations were used:

| Abbreviation | Nature of Business                                                   |
|--------------|----------------------------------------------------------------------|
| (i)          | Energy management services                                           |
| (ii)         | Development and commercialization of innovative electro technologies |

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|           |                                                                             |
|-----------|-----------------------------------------------------------------------------|
| (v) - 1   | Brokering and marketing of energy commodities - natural gas                 |
| (v) - 2   | Brokering and marketing of energy commodities - electricity                 |
| (v) - 3   | Brokering and marketing of energy commodities - natural gas and electricity |
| (vi)      | Production of alternative fuels                                             |
| (vii) - 1 | Environmental licensing, testing, and remediation services                  |
| (vii) - 2 | Integrated turbine and generator services                                   |
| (ix) - 1  | Fuel transportation facilities services                                     |
| (ix) - 2  | Fuel handling and storage facilities services                               |
| (ix) - 3  | Waste water treatment                                                       |

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## ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS: Investment level at September 30, 2002

| Company<br>Issuing<br>Security                                | Type of<br>Security<br>Issued | Principal<br>Amount of<br>Security<br>(in thousands) |             |
|---------------------------------------------------------------|-------------------------------|------------------------------------------------------|-------------|
| ADI Thermal Power, Corp.                                      | Common stock                  | \$200                                                | Alliant Ene |
| Alliant Energy Desdemona, LP                                  | Partnership capital           | \$1,569                                              | Industrial  |
|                                                               | Partnership capital           | \$1                                                  | Alliant Ene |
| Alliant Energy Integrated Services -<br>Energy Management LLC | Money pool borrowings         | \$12,889                                             | Alliant Ene |
| Alliant Energy Integrated Services -<br>Energy Solutions LLC  | Money pool borrowings         | \$4,970                                              | Alliant Ene |
| Alliant South Texas Pipeline, LP                              | Partnership capital           | \$6,140                                              | Industrial  |
|                                                               | Partnership capital           | \$9                                                  | Alliant Ene |
| American Superconductor Corporation                           | Common stock                  | \$963                                                | Alliant Ene |
| AnAerobics, Inc.                                              | Preferred stock               | \$1,333                                              | Alliant Ene |
| AstroPower, Inc.                                              | Common stock                  | \$497                                                | Alliant Ene |
| BFC Gas Company LC                                            | Capital                       | \$2,109                                              | Industrial  |
| CellTech Power, Inc.                                          | Series A preferred stock      | \$252                                                | Alliant Ene |
| Cogenex Corporation (Incl. subsidiaries)                      | Money pool borrowings         | \$72,652                                             | Alliant Ene |
| Dais Analytic Corporation                                     | Common stock                  | \$90                                                 | Wisconsin P |
| Energys, Inc.                                                 | Common stock                  | \$8,746                                              | Industrial  |
|                                                               | Money pool borrowings         | \$777                                                | Alliant Ene |
| Energy Performance Services, Inc.                             | Money pool borrowings         | \$17,158                                             | Alliant Ene |
| Heartland Energy Group, Inc.                                  | Common stock                  | \$5,011                                              | Alliant Ene |
|                                                               | Money pool borrowings         | \$21,096                                             | Alliant Ene |
| Henwood Energy Services, Inc.                                 | Common stock                  | \$1,342                                              | AER Holding |
| Industrial Energy Applications, Inc.                          | Common stock                  | \$21,060                                             | Alliant Ene |
|                                                               | Money pool borrowings         | \$26,646                                             | Alliant Ene |
| New River Synfuel LLC                                         | Capital                       | \$4,046                                              | Alpha Synfu |
| NG Energy Trading, LLC                                        | Capital                       | \$2,250                                              | Heartland E |
| Nth Power Technologies Fund II, LP                            | Partnership capital           | \$5,500                                              | Alliant Ene |
| Oak Hill Pipeline LP                                          | Partnership capital           | \$2,279                                              | Industrial  |
| Proton Energy Systems, Inc.                                   | Common stock                  | \$499                                                | Alliant Ene |
|                                                               | Common stock                  | \$22                                                 | AER Holding |
| ReGENco LLC                                                   | Class A units                 | \$1,083                                              | Heartland E |
|                                                               | Class B units                 | \$667                                                | Heartland E |
| RMT, Inc. (Incl. subsidiaries)                                | Common stock                  | \$11,822                                             | Alliant Ene |
| SmartEnergy, Inc.                                             | Common stock                  | \$15,000                                             | Alliant Ene |
|                                                               | Bridge loan                   | \$21,795                                             | Alliant Ene |
| STM Power, Inc.                                               | Preferred stock               | \$2,500                                              | Alliant Ene |

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|                             |                       |         |             |
|-----------------------------|-----------------------|---------|-------------|
| Williams Bulk Transfer Inc. | Common stock          | \$1     | Alliant Ene |
|                             | Money pool borrowings | \$4,807 | Alliant Ene |

(1) Associate companies.

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## ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended September 30, 2002

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

| Reporting Company<br>Rendering Services | Associate Company<br>Receiving Services | Types of Services<br>Rendered | Direct Costs<br>Charged<br>(in thousands) | Indirect Costs<br>Charged<br>(in thousands) | Co<br>C<br>(in |
|-----------------------------------------|-----------------------------------------|-------------------------------|-------------------------------------------|---------------------------------------------|----------------|
| RMT                                     | WP&L                                    | Environmental consulting      | \$449                                     | \$-                                         |                |
| RMT                                     | IEA                                     | Environmental consulting      | 35                                        | -                                           |                |
| RMT                                     | IP&L                                    | Environmental consulting      | 120                                       | -                                           |                |
| RMT                                     | Resources                               | Environmental consulting      | 42                                        | -                                           |                |
| RMT                                     | Barge                                   | Environmental consulting      | 3                                         | -                                           |                |
| RMT                                     | AE Generation                           | Environmental consulting      | 1                                         | -                                           |                |
| HES                                     | WP&L                                    | Software license              | 22                                        | -                                           |                |

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

| Associate Company<br>Rendering Services | Reporting Company<br>Receiving Services | Types of Services<br>Rendered | Direct Costs<br>Charged<br>(in thousands) | Indirect Costs<br>Charged<br>(in thousands) | (i |
|-----------------------------------------|-----------------------------------------|-------------------------------|-------------------------------------------|---------------------------------------------|----|
| RMT                                     | IEA                                     | Environmental consulting      | \$35                                      | \$-                                         |    |

(a) The following abbreviations were used:

| Abbreviation  | Legal Name                      | Abbreviation | Legal Name  |
|---------------|---------------------------------|--------------|-------------|
| AE Generation | Alliant Energy Generation, Inc. | IP&L         | Interstate  |
| Barge         | IEI Barge Services, Inc.        | Resources    | Alliant Ene |
| HES           | Henwood Energy Services, Inc.   | RMT          | RMT, Inc.   |

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IEA

Industrial Energy Applications, Inc.

WP&L

Wisconsin P

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## ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT (Dollars in thousands)

Investments in energy-related companies:

|                                                                                                                                                                          |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| Total consolidated capitalization as of September 30, 2002 (a)                                                                                                           | \$4,982, |
| Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)                                                                                                       | 747,     |
| Greater of \$50 million or total capitalization multiplied by 15% (Line 2)                                                                                               | 747,     |
| Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):                                                  |          |
| Energy-related business category i                                                                                                                                       | 117,     |
| Energy-related business category ii                                                                                                                                      | 15,      |
| Energy-related business category iii                                                                                                                                     |          |
| Energy-related business category iv                                                                                                                                      |          |
| Energy-related business category v                                                                                                                                       | 61,      |
| Energy-related business category vi                                                                                                                                      | 12,      |
| Energy-related business category vii                                                                                                                                     | 2,       |
| Energy-related business category viii                                                                                                                                    | 240,     |
| Energy-related business category ix                                                                                                                                      | 14,      |
| Energy-related business category x                                                                                                                                       |          |
| Total current aggregate investment                                                                                                                                       | 464,     |
| Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4) | \$282,   |

Investments in gas-related companies:

|                                                                                         |     |
|-----------------------------------------------------------------------------------------|-----|
| Total current aggregate investment (categorized by major line of gas-related business): |     |
| Gas-related business category i                                                         | \$- |
| Gas-related business category ii                                                        | -   |
| Total current aggregate investment                                                      | \$- |

(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt

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(variable rate demand bonds, commercial paper and other short-term borrowings).

(b) Represents \$128,875,000 of payments and \$111,358,000 of commitments for future payments required to purchase generation equipment that Alliant Energy intends to use to develop one or more qualifying facilities.

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### ITEM 5 - OTHER INVESTMENTS (Dollars in thousands)

| Major Line Of<br>Energy-Related<br>Business | Other<br>Investment<br>In Last<br>U-9C-3 Report | Other<br>Investment<br>In This<br>U-9C-3 Report | Reason for<br>Change In                                                                 |
|---------------------------------------------|-------------------------------------------------|-------------------------------------------------|-----------------------------------------------------------------------------------------|
| Energy-related business category i*         |                                                 |                                                 |                                                                                         |
| Henwood Energy Services, Inc.               | \$1,342                                         | \$1,342                                         | No change.                                                                              |
| Industrial Energy Applications, Inc.        | 47,133                                          | 47,133                                          | No change.                                                                              |
| Energy-related business category v*         |                                                 |                                                 |                                                                                         |
| Cargill-Alliant, LLC                        | \$5,000                                         | \$0                                             | In the third quarter, Cargill-Alliant Corporation sold Cargill-Alliant, LLC.            |
| Heartland Energy Group, Inc.                | 3,289                                           | 3,289                                           | No change.                                                                              |
| Energy-related business category vi*        |                                                 |                                                 |                                                                                         |
| BFC Gas Company LC                          | \$2,569                                         | \$2,109                                         | In the third quarter, BFC Gas Company LC made a distribution to its shareholders.       |
| Energy-related business category vii*       |                                                 |                                                 |                                                                                         |
| RMT, Inc. (including subsidiaries)          | \$11,822                                        | \$11,822                                        | No change.                                                                              |
| Energy-related business category ix*        |                                                 |                                                 |                                                                                         |
| Oak Hill Pipeline LP                        | \$2,725                                         | \$2,279                                         | In the third quarter, Oak Hill Pipeline LP made a distribution to its limited partners. |

\* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is no recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

### ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

#### A. FINANCIAL STATEMENTS:

Filed under confidential treatment pursuant to Rule 104 (b).

#### B. EXHIBITS:

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1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:  
None

### SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 22nd day of November 2002.

ALLIANT ENERGY CORPORATION  
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Registrant

By: /s/ John E. Kratchmer  
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John E. Kratchmer

Vice President-Controller and Chief Accounti  
(Principal Accounting Officer)

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Exhibit A

ALLIANT ENERGY CORPORATION  
4902 N. Biltmore Lane, Madison, Wisconsin 53718-2132

### CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board  
350 Maple Street  
Des Moines, Iowa 50319-0069

Illinois Commerce Commission  
527 E. Capitol Avenue  
Springfield, Illinois 62701

Minnesota Public Utilities Commission  
121 7th Place E. Suite 350  
St. Paul, MN 55101-2147

Public Service Commission of Wisconsin  
610 North Whitney Way, P.O. Box 7854  
Madison, Wisconsin 53707-7854

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ALLIANT ENERGY CORPORATION

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Registrant

By: /s/ John E. Kratchmer

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John E. Kratchmer

Vice President–Controller and Chief Accounting Officer  
(Principal Accounting Officer)