

SEAVAR R ARTHUR JR

Form 4

March 19, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SEAVAR R ARTHUR JR

2. Issuer Name **and** Ticker or Trading  
Symbol  
SOUTHERN FIRST  
BANCSHARES INC [SFST]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/15/2019

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

P.O. BOX 17465

Chief Executive Officer

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

GREENVILLE, SC 29606

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	03/15/2019		M	2,000 A \$ 5.67	49,591	D	
Common Stock	03/15/2019		S	888 D \$ 36	48,703	D	
Common Stock	03/15/2019		S	600 D \$ 36.21	48,103	D	
Common Stock	03/15/2019		S	142 D \$ 36.12	47,961	D	
Common Stock	03/15/2019		S	101 D \$ 36.06	47,860	D	

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Common Stock	03/15/2019	S	100	D	\$ 36.2	47,760	D
Common Stock	03/15/2019	S	100	D	\$ 36.11	47,660	D
Common Stock	03/15/2019	S	35	D	\$ 36.09	47,625	D
Common Stock	03/15/2019	S	22	D	\$ 36.17	47,603	D
Common Stock	03/15/2019	S	12	D	\$ 36.16	47,591	D
Common Stock	03/18/2019	M	3,911	A	\$ 5.67	51,502	D
Common Stock	03/18/2019	S	3,100	D	\$ 36	48,402	D
Common Stock	03/18/2019	S	424	D	\$ 36.03	47,978	D
Common Stock	03/18/2019	S	387	D	\$ 36.01	47,591	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options (right to buy)	\$ 5.67	03/15/2019		M	2,000	01/19/2011 01/19/2020	Common Stock	2,000
	\$ 5.67	03/18/2019		M	3,911	01/19/2011 01/19/2020		3,911

Stock  
Options  
(right to  
buy)

Common  
Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SEAVER R ARTHUR JR P.O. BOX 17465 GREENVILLE, SC 29606	X		Chief Executive Officer	

## Signatures

R. Arthur Seaver, Jr. /s/Julie A. Fairchild, POA	03/19/2019
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\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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