## Edgar Filing: Rockwood Holdings, Inc. - Form 4/A

Rockwood H	oldings, Inc.											
Form 4/A												
February 28,	2014											
FORM	<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
			Was	hington,	D.C. 205	549			Number:	3235-0287		
check this box if no longer STATEMENT OF CHAN				GES IN BENEFICIAL OWNERSHIP OF					Expires: January 3			
subject to STATEMENT OF CHAIN Section 16. Form 4 or				SECURITIES					Estimated average burden hours per response 0.5			
Form 5	Filed r	oursuant to	Section 16	6(a) of the	e Securiti	es Ez	Exchange Act of 1934,					
obligation may conti	<sup>18</sup> Section 1							f 1935 or Sectio	on			
See Instru 1(b).		30(h)	) of the Inv	vestment	Compan	y Act	of 19	40				
(Print or Type R	esponses)											
(I fine of Type R	esponses)											
1. Name and Address of Reporting Person *2. IssuerGhasemi SeifiSymbol				er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
			•	od Holdii	ngs, Inc.	[ROO	C]	(Che	ck all applicable	e)		
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction							
C/O ROCKWOOD HOLDINGS, 03/22/20			onth/Day/Year) /22/2013				X_ Director 10% Owner X_ Officer (give title Other (specify below) below)					
INC., 100 O	VERLOOK C	ENTER						/	airman & CEO			
			4. If Amer	lf Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
			Filed(Mon									
PRINCETO	N, NJ 08540		03/26/20	)13				_X_ Form filed by Form filed by I Person	One Reporting Po More than One Ro			
(City)	(State)	(Zip)	Tabl	a I - Non-D	orivativo (	Socuri	tios A.c	quired, Disposed o	f or Bonoficia	lly Owned		
1.Title of	2. Transaction	Data 24 Day					nes Ae		6. Ownership	-		
Security	(Month/Day/Ye		emed 3. 4. Securities on Date, if TransactionAcquired (A) or			5. Amount of Securities	Form: Direct	Indirect				
(Instr. 3)	•	any		•	Disposed of (D)		Beneficially	· /	Beneficial			
		(Month	/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)			5)	Owned Following		Ownership (Instr. 4)			
								Reported	(Instr. 1)	(11341. 1)		
						(A) or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common												
Stock, par value \$0.01	03/22/2013			А	434 <u>(1)</u>	A	\$0	705,321	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## Edgar Filing: Rockwood Holdings, Inc. - Form 4/A

## **Reporting Owners**

Reporting Owner Name / Address		Relationships							
	Director	10% Owner	Officer	Other					
Ghasemi Seifi C/O ROCKWOOD HOLDINGS, INC. 100 OVERLOOK CENTER PRINCETON, NJ 08540	Х		Chairman & CEO						
Signatures									
/s/ Seifi 02/28/2014 Ghasemi	4								

\*\*Signature of Reporting Person

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This amended Form 4 is being filed to reflect the correct number of shares relating to dividend equivalent rights for restricted stock units granted in November 2001 accrued to the reporting person on the 3/22/2013 transaction date in connection with the Issuer's quarterly (1) dividend.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.