Edgar Filing: AGILE THERAPEUTICS INC - Form 4

AGILE THERAP Form 4 January 27, 2017	PEUTICS IN	C									
FORM 4 Check this box	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040								OMB APPROVAL OMB Number: 3235-0 Expires: January		
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).									Estimated average burden hours per response 0.8		
(Print or Type Respor	nses)										
Gilmore Geoffrey Sys				er Name and THERAP		-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			3. Date of Earliest Transaction(Month/Day/Year)01/25/2017			Director 10% Owner X Officer (give title Other (specify below) below) General Counsel					
(S PRINCETON, N	Street) IJ 08540			endment, Dat nth/Day/Year)	e Origina	I	6. Individual or . Applicable Line) _X_ Form filed by Form filed by Person		erson		
(City) (S	(State)	(Zip)	Tab	le I - Non-De	erivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	I	
	ansaction Date th/Day/Year)	Execution any	Date, if	Transaction/ Code I	Disposed Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficial Ownershi (Instr. 4)	1	
Reminder: Report on	a separate line	for each cla	ass of sect	urities benefic	Perso inform requir	ns who res ation cont ed to respo ys a currer	or indirectly. pond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tabl	la II Dort	otive Sec	uniting A acre	ined Di-	read of an	Ponoficially Owned	4			

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	3)	Acquired (A Disposed of (Instr. 3, 4, 5)	f (D)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 2.26	01/25/2017		А		140,000		(2)	01/24/2027	Common Stock	140,00
Restricted Stock Units	<u>(1)</u>	01/25/2017		А		35,000		(3)	(3)	Common Stock	35,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Gilmore Geoffrey 101 POOR FARM ROAD PRINCETON, NJ 08540			General Counsel				
Signatures							
/s/ Scott M. Coiante, Attorney-in-Fact		01/27/20	17				

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of common stock.
- This option was granted on January 25, 2017. 25% of the shares subject to the stock option vest on January 25, 2018, and the remaining(2) shares vest in 36 substantially equal monthly installments over the following three years such that the option will be fully vested on January 25, 2021.
- (3) The restricted stock units were granted on January 25, 2017 and vest as follows: 17,500 units will vest and be delivered on January 25, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.