

Conway Robert G  
Form 3  
October 12, 2017

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Conway Robert G

(Last) (First) (Middle)

101 POOR FARM ROAD

(Street)

PRINCETON, NJ 08540

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

10/03/2017

3. Issuer Name **and** Ticker or Trading Symbol  
AGILE THERAPEUTICS INC [AGRX]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
SVP Ent Planning & Info Mgt

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

Date Expiration  
Exercisable Date

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title Amount or  
Number of  
Shares

4. Conversion  
or Exercise  
Price of  
Derivative  
Security

5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

## Edgar Filing: Conway Robert G - Form 3

|                                         |            |            |                 |        |                  |   |   |
|-----------------------------------------|------------|------------|-----------------|--------|------------------|---|---|
| Employee Stock Option<br>(Right to Buy) | 01/12/2009 | 01/11/2019 | Common<br>Stock | 45     | \$ 285.71<br>(1) | D | Â |
| Employee Stock Option<br>(Right to Buy) | 12/09/2010 | 12/08/2020 | Common<br>Stock | 4,149  | \$ 1.76 (1)      | D | Â |
| Employee Stock Option<br>(Right to Buy) | 12/06/2012 | 12/05/2022 | Common<br>Stock | 46,485 | \$ 4.38 (1)      | D | Â |
| Employee Stock Option<br>(Right to Buy) | 02/19/2015 | 02/18/2025 | Common<br>Stock | 30,000 | \$ 9.45 (2)      | D | Â |
| Employee Stock Option<br>(Right to Buy) | 02/08/2016 | 02/07/2026 | Common<br>Stock | 47,500 | \$ 5.93 (3)      | D | Â |
| Employee Stock Option<br>(Right to Buy) | 01/25/2017 | 01/24/2027 | Common<br>Stock | 38,000 | \$ 2.26 (4)      | D | Â |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                                     |       |
|----------------------------------------------------------------|---------------|-----------|-------------------------------------|-------|
|                                                                | Director      | 10% Owner | Officer                             | Other |
| Conway Robert G<br>101 POOR FARM ROAD<br>PRINCETON,Â NJÂ 08540 | Â             | Â         | Â SVP Ent<br>Planning &<br>Info Mgt | Â     |

## Signatures

/s/ Scott Coiante,  
Attorney-in-Fact

10/12/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option is fully vested.

This option was granted on February 19, 2015. 25% of the shares subject to the stock option vested on February 19, 2016, and the

(2) remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on February 19, 2019.

(3) This option was granted on February 8, 2016. 25% of the shares subject to the stock option vested on February 8, 2017, and the remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on February 8, 2020.

This option was granted on January 25, 2017. 25% of the shares subject to the stock option will vest on January 25, 2018, and the

(4) remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on January 25, 2021.

Â

### Remarks:

ExhibitÂ ListÂ ExhibitÂ 24.1Â -Â PowerÂ ofÂ Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.