

American Capital Senior Floating, Ltd.  
Form 8-K  
December 15, 2017

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**WASHINGTON, DC 20549**

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**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934**

Date of report (Date of earliest event reported): **December 14, 2017**

**AMERICAN CAPITAL SENIOR FLOATING, LTD.**

(Exact Name of Registrant as Specified in Charter)

**Maryland**  
(State or Other Jurisdiction  
of Incorporation)

**814-01025**  
(Commission  
File Number)

**46-1996220**  
(IRS Employer  
Identification No.)

**245 Park Avenue, 42nd Floor, New York, NY**  
(Address of Principal Executive Offices)

**10167**  
(Zip Code)

Registrant's telephone number, including area code **(212) 750-7300**

## Edgar Filing: American Capital Senior Floating, Ltd. - Form 8-K

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company ☒

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

**Item 5.07 Submission of Matters to a Vote of Security Holders.**

***Annual Meeting of Stockholders***

On December 14, 2017, American Capital Senior Floating, Ltd. (the "Company") held its annual meeting of stockholders (the "Annual Meeting") at the offices of Proskauer Rose LLP, Eleven Times Square, New York, New York 10036. The issued and outstanding shares of stock of the Company entitled to vote at the Annual Meeting consisted of the 10,000,100 shares of common stock outstanding on the record date, October 19, 2017. At the Annual Meeting, the Company's stockholders voted on the following proposals and the Company's inspector of election certified the vote tabulations indicated below.

**Proposal 1**

The nominees listed below were elected as directors of the Company to serve until the next annual meeting of stockholders, and until their successors are duly elected and qualify, based on the following votes:

	<b>FOR</b>	<b>AGAINST</b>	<b>ABSTAIN</b>	<b>BROKER NON-VOTES</b>
Kevin R. Braddish	3,176,635	170,349	44,845	5,631,291
Phyllis R. Caldwell	3,183,979	158,008	49,842	5,631,291
Gilbert Crawford	3,184,692	157,294	49,843	5,631,291
Larry K. Harvey	3,170,614	171,372	49,843	5,631,291

**Proposal 2**

The proposal to ratify the selection of Ernst & Young LLP as the Company's independent registered public accounting firm for the year ending December 31, 2017 was approved, based on the following votes:

<b>FOR</b>	<b>AGAINST</b>	<b>ABSTAIN</b>
8,961,171	36,363	25,586

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

AMERICAN CAPITAL SENIOR FLOATING, LTD.

Date: December 15, 2017

By:	/s/ Penni F. Roll
Name:	Penni F. Roll
Title:	Chief Financial Officer