Edgar Filing: HELMREICH WILLIAM B - Form 4

| HELMREICH Form 4 March 12, 20 | H WILLIAM B | | | | | | | | | | |
|--|---|---|---|---------------------------------------|-----------------|----------------------------|---|--|--|--|--|
| FORM | 4 UNITED | STATES SECONTIES AND EXCHANGE CONTRISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | | PPROVAL 3235-0287 | |
| Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b). | er STATEN 5. Filed pur ^s Section 17(| | | | | | | | | Number: January 31 Expires: January 31 2005 Estimated average burden hours per response 0.5 | |
| (Print or Type R | esponses) | | | | | | | | | | |
| HELMREICH WILLIAM B Symbol | | | r Name and Ticker or Trading R REALTY TRUST INC | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| | R REALTY TRU ARLE OVINGT | | 3. Date of (Month/Da 03/08/20 | - | Insaction | | | X Director Officer (give below) | | 6 Owner er (specify | |
| UNIONDAL | | | | ndment, Date Original th/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) | (Zip) | Table | I - Non-De | erivative S | Securi | ties Ac | Person quired, Disposed o | f or Beneficia | llv Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | Execution Execution | med | 3. | 4. Securi | ties l (A) o l of (D | or)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | • | |
| Common Stock, par value \$0.01 per share | 03/08/2019 | | | Code V | Amount 7,892 | (D) A | Price (<u>1</u>) | | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|------------|---------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| HELMREICH WILLIAM B C/O ARBOR REALTY TRUST, INC. 333 EARLE OVINGTON BLVD., STE. 900 UNIONDALE, NY 11553 | Х | | | | | |
| Signatures | | | | | | |
| /s/ John Bishar, Attorney-in-Fact for William I Helmreich | В. | 03/12/2019 | | | | |
| <u>**</u> Signature of Reporting Person | | | Date | | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of common stock par value \$0.01 per share of Arbor Realty Trust, Inc. (the "Company") granted pursuant to the Company's 2017 (1) Omnibus Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.