Edgar Filing: PNM RESOURCES INC - Form 4

Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. MB 3235.0 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: January January Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X_Director Differer (give title Eld(Wonth/Day/Year) Neron filed by One Reporting Person SO(0) 9964 E. SIENNA HILLS DRIVE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joind/Oroup Filing(Check Applicable Line) X_Form filed by One Reporting Person Form filed by One Reporting Person Form filed by One Reporting Person Form filed by One Reporting Person 	PNM RESOU	JRCES INC										
FORM 4 Check this box is the longer subject to Section 16. Form 4 or Form 4 or Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL Washington, D.C. 20549 OMB Subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). OMB APPROVAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). OMB APPROVAL Mills (Print or Type Responses) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). S. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_Director below) Other (specify below) (Stree) 4. If Amendment, Date Original Field(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by More than One Reporting Person Form: Direct Indirect (Instr. 3) 6. Ownership 7. Nature Security (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Instr. 4) 6. Ownership 7. Nature Group (Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securities (Instr. 3, and 4) 6. Ownership 7. Nature Form: Direct Indirect (Inst	Form 4											
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Charled STATES SECONTINES AND EXCURITION COMMISSION if no longer subject to Section 16. OMB 3235-0 Form 4 or Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Express: January Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). I. Name and Address of Reporting Person ² 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer SCHWANZ DONALD K Symbol Symbol Issuer 9964 E. SIENNA HILLS DRIVE 05/08/2015 — Director Heldwork/Day/Year) Officer (give ittle Doing Crown Ferson Officer (give ittle Doing Crown Ferson Corr 10% Owner Officer (give ittle Doing Crown Ferson Person (City) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_Porm filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Code Scaunut of Disposed of (D) Beneficially 0. Ownership Form: Direct 6. Ownership Form: Direct (City) (State) (Zip) 3. 4. Securities Code Scaunites	FORM A									OMB APPROVAL		
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Stock	Stock	05/08/2015		М	950	А	<u>(1)</u>	19,909	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	(2)					(3)	(4)	Common Stock	2,000	
Restricted Stock Rights	<u>(5)</u>	05/12/2015		А	2,783	<u>(6)</u>	(6)	Common Stock	2,783	\$

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCHWANZ DONALD K 9964 E. SIENNA HILLS DRIVE SCOTTSDALE, AZ 85262	Х						
Signatures							
Jim S. Acosta, POA for Donald K. Schwanz		05/12/	2015				

**Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Represents the portion of a previous award of restricted stock rights that vested effective as of the applicable vesting date.
- (2) Based on the closing price on the date of the grant.
- (3) The options vested in three equal annual installments from grant date and are fully vested.
- (4) The options expire 10 years from grant date.
- (5) Each restricted stock right represents a contingent right to receive one share of PNM Resources, Inc. common stock.

The restricted stock units vest in three equal annual installments beginning May 12, 2016. Vested shares will be delivered to the reporting(6) person on the applicable vesting dates (or, if the company is in a blackout period under its insider trading policy on any vesting date, at a later date after such blackout period ends).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.