#### Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 4

#### HAVERTY FURNITURE COMPANIES INC

Form 4 May 10, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

**SUITE 800** 

Common

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Gill John Linwood

(First) (Middle)

780 JOHNSON FERRY ROAD, NE, 05/08/2016

(Street)

ATLANTA, GA 30342

2. Issuer Name and Ticker or Trading

Symbol

HAVERTY FURNITURE **COMPANIES INC [HVT]** 

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

Vice President, Operations

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

| (City)                               | (State)                                                                                 | Zip) Table | I - Non-D                                                                                               | erivative :  | Secur          | ities Acq                                      | uired, Disposed of | , or Beneficiall                                                  | y Owned |
|--------------------------------------|-----------------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------------------------------|--------------|----------------|------------------------------------------------|--------------------|-------------------------------------------------------------------|---------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |            | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |              | d of (D)<br>5) | Beneficially (D) or Bo<br>Owned Indirect (I) O |                    | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
| Common<br>Stock                      | 05/08/2016                                                                              |            | Code V M                                                                                                | Amount 1,000 | (D)            | Price<br>\$ 0                                  | 2,606              | D                                                                 |         |
| Common<br>Stock                      | 05/08/2016                                                                              |            | M                                                                                                       | 875          | A              | \$0                                            | 3,481              | D                                                                 |         |
| Common<br>Stock                      | 05/08/2016                                                                              |            | M                                                                                                       | 1,000        | A              | \$ 0                                           | 4,481              | D                                                                 |         |
| Common<br>Stock                      | 05/08/2016                                                                              |            | F                                                                                                       | 1,366        | D              | \$<br>18.29                                    | 3,115              | D                                                                 |         |
| Class A                              |                                                                                         |            |                                                                                                         |              |                |                                                | 7,500              | D                                                                 |         |

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#### Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | ion Derivative<br>Securities |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                        | 8. Price<br>Deriva<br>Securit<br>(Instr. |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|------------------------------|-------|----------------------------------------------------------|--------------------|---------------------------------------------------------------|----------------------------------------|------------------------------------------|
|                                                     |                                                                       |                                      |                                                             | Code V                                 | (A)                          | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title                                                         | Amount<br>or<br>Number<br>of<br>Shares |                                          |
| RSUs<br>2013                                        | (1)                                                                   | 05/08/2016                           |                                                             | M                                      |                              | 1,000 | <u>(1)</u>                                               | <u>(1)</u>         | Common<br>Stock                                               | 1,000                                  | \$ (                                     |
| RSUs<br>2014                                        | (2)                                                                   | 05/08/2016                           |                                                             | M                                      |                              | 875   | (2)                                                      | (2)                | Common<br>Stock                                               | 875                                    | \$ (                                     |
| RSUs<br>2015                                        | (3)                                                                   | 05/08/2016                           |                                                             | M                                      |                              | 1,000 | (3)                                                      | (3)                | Common<br>Stock                                               | 1,000                                  | \$ (                                     |
| RSUs<br>2015.1                                      | <u>(4)</u>                                                            |                                      |                                                             |                                        |                              |       | <u>(4)</u>                                               | <u>(4)</u>         | Common<br>Stock                                               | 1,000                                  |                                          |
| RSUs<br>2016                                        | <u>(5)</u>                                                            |                                      |                                                             |                                        |                              |       | (5)                                                      | (5)                | Common<br>Stock                                               | 2,081                                  |                                          |

# **Reporting Owners**

| Reporting Owner Name / Address |          | Relationships |         |       |  |
|--------------------------------|----------|---------------|---------|-------|--|
|                                | Director | 10% Owner     | Officer | Other |  |

Gill John Linwood 780 JOHNSON FERRY ROAD, NE, SUITE 800 ATLANTA, GA 30342

Vice President, Operations

# **Signatures**

Jenny H. Parker, Attorney-in-Fact 05/09/2016

2 Reporting Owners

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\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units ("RSUs") remaining from award granted on 1/24/2013 under the 2004 Long-Term Incentive Plan. These RSUs vest equally over the next 2 years, beginning 5/8/2016. Each RSU is equivalent to one share of common stock upon vesting.
- (2) Restricted Stock Units ("RSUs") remaining from award granted on 1/17/2014 under the 2004 Long-Term Incentive Plan. These RSUs vest equally over the next 3 years, beginning 5/8/2016. Each RSU is equivalent to one share of common stock upon vesting.
- (3) Restricted Stock Units ("RSUs") from award granted on 1/23/2015 under the 2014 Long-Term Incentive Plan. These RSUs vest equally over 4 years, beginning 5/8/2016. Each RSU is equivalent to one share of common stock upon vesting.
- (4) Restricted Stock Units ("RSUs") from award granted 11/2/2015 under the 2014 Long-Term Incentive Plan. These RSUs vest 100% on 5/8/2017. Each RSU is equivalent to one share of common stock upon vesting.
- (5) Restricted Stock Units ("RSUs") award granted 1/26/2016 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2017. Each RSU is equivalent to one share of common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3