

Grubb Michael J.  
Form 3  
February 01, 2018

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Grubb Michael J.

(Last) (First) (Middle)

250 GIBRALTAR ROAD

(Street)

HORSHAM,Â PAÂ 19044

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

01/26/2018

3. Issuer Name **and** Ticker or Trading Symbol  
TOLL BROTHERS INC [TOL]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
Chief Accounting Officer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

1,039

D

Â

Common Stock

175

I

401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative  
Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

4. Conversion  
or Exercise  
Price of  
Derivative

5. Ownership  
Form of  
Derivative  
Security:

6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	12/17/2013	12/17/2022	Common Stock	1,250	\$ 32.22	D	Â
Stock Options (Right to Buy)	12/18/2016 <sup>(1)</sup>	12/18/2025	Common Stock	1,500	\$ 32.85	D	Â
Stock Options (Right to Buy)	12/19/2015 <sup>(2)</sup>	12/19/2024	Common Stock	1,375	\$ 32.49	D	Â
Stock Options (Right to Buy)	12/20/2012	12/20/2021	Common Stock	1,000	\$ 20.5	D	Â
Stock Options (Right to Buy)	12/20/2014	12/20/2023	Common Stock	1,375	\$ 35.16	D	Â
Restricted Stock Units	01/05/2017 <sup>(3)</sup>	01/05/2020	Common Stock	366	\$ 0	D	Â
Restricted Stock Units	12/01/2018 <sup>(4)</sup>	12/01/2021	Common Stock	837	\$ 0	D	Â
Restricted Stock Units	01/05/2016 <sup>(5)</sup>	01/05/2019	Common Stock	348	\$ 0	D	Â
Restricted Stock Units	12/01/2017 <sup>(6)</sup>	12/01/2020	Common Stock	1,076	\$ 0	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Grubb Michael J. 250 GIBRALTAR ROAD HORSHAM, PA 19044	Â	Â	Â Chief Accounting Officer	Â

## Signatures

/s/Kathryn G.  
Flanagan, attorney-in-fact

02/01/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 750 exercisable as of 1/26/2018. 375 exercisable on 12/18/2018 and 12/18/2019.

(2) 1,031 exercisable as of 1/26/2018. 344 exercisable on 12/19/2018.

(3) 183 vested as of 1/26/2018. 91 vest on 1/5/2019 and 1/5/2020.

(4) Vesting 25% on 12/1/2018, 2019, 2020 and 2021.

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(5) 261 vested as of 1/26/2018. 87 vest 1/5/2019.

(6) 269 vested as of 1/26/2018. 269 vest on 12/1/2018, 2019 and 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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