Moriarty Thomas M Form 4 March 01, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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Expires:

Form filed by More than One Reporting

Person

January 31, 2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Moriarty Thomas M Issuer Symbol CVS HEALTH Corp [CVS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify \_X\_\_ Officer (give title ONE CVS DRIVE 02/28/2019 below) EVP & General Counsel (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### WOONSOCKET, RI 02895

| (City)                               | (State) (Z                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |               |   |             |  |   |   |  |
|--------------------------------------|---|--|---|---------------|---|-------------|--|---|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | or(A) or Di   | Securities Acquired<br>or Disposed of (D)<br>str. 3, 4 and 5) |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |   |  | Code V                                  | Amount        | (A)<br>or<br>(D)  | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (I)<br>(Instr. 4)                         |   |  |
| Common<br>Stock                      | 02/28/2019                              |  | A                                       | 10,869<br>(1) | A   | \$<br>57.83 | 38,306.0221  | D   |   |  |
| Common<br>Stock                      | 02/28/2019                              |  | F                                       | 6,120<br>(2)  | D   | \$<br>57.83 | 32,186.0221  | D   |   |  |
| Common<br>Stock<br>(restricted)      |   |  |   |               |   |             | 34,270   | D   |   |  |
| Stock Unit                           |   |  |   |               |   |             | 42,631.5037  | D   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Moriarty Thomas M - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivativ<br>Securities<br>Acquired |                     | ate                | Amou<br>Under<br>Secur | le and<br>ant of<br>rlying<br>rities<br>. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|------------------------|--|--|--|
|   | ·   |                                      |   |  | (A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5)     |                     |                    |                        |  |  | Repo<br>Trans<br>(Instr                          |
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares             |  |  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Moriarty Thomas M ONE CVS DRIVE WOONSOCKET, RI 02895

**EVP & General Counsel** 

## **Signatures**

/s/ Thomas M. 03/01/2019 Moriarty

\*\*Signature of Reporting Date
Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of stock awarded at market price pursuant to Issuer's 2017 Incentive Compensation Plan and its Long-Term Incentive Plan.
- (2) Surrender of shares in payment of withholding taxes due upon the vesting of a stock award under the Issuer's 2017 Incentive Compensation Plan and its Long-Term Incentive Plan.

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