SEELBACH CHLOE R

Form 4 April 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

SECURITIES

Washington, D.C. 20549 Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person *

SEELBACH CHLOE R

2. Issuer Name and Ticker or Trading

Symbol

HYSTER-YALE MATERIALS HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE 3. Date of Earliest Transaction

(Month/Day/Year) 04/01/2019

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Director 10% Owner Officer (give title __X_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | |
|--------------------------------------|--------------------------------------|--|--|---------------------------------------|--------|--------------------|--|--|---|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securi on(A) or D (Instr. 3, | ispose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Class A Common Stock | 04/01/2019 | | P | 2 (1) | A | \$ 64.54 (2) | 510 | I | Proportionate interest in shares held by Rankin Associates VI | | |
| Class A Common Stock | 04/01/2019 | | P | 1 (1) | A | \$ 64.54 (2) | 604 | I | Spouse's proportionate interest in shares held by Rankin Associates VI | | |
| | 04/01/2019 | | P | 1 (1) | A | | 604 | I | | | |

| Class A Common Stock | | | | | \$ 64.54 (2) | | | Child's proportionate interest in shares held by Rankin Associates VI |
|----------------------------|------------|---|-------|---|--------------------|-----|---|--|
| Class A Common Stock | 04/01/2019 | P | 1 (1) | A | \$ 64.54 (2) | 604 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 04/01/2019 | P | 1 (1) | A | \$ 64.54 (2) | 604 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 04/02/2019 | P | 1 (1) | A | \$ 64.71 (3) | 511 | I | Proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 04/02/2019 | P | 2 (1) | A | \$ 64.71 (3) | 606 | I | Spouse's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 04/02/2019 | P | 2 (1) | A | \$ 64.71 (3) | 606 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 04/02/2019 | P | 2 (1) | A | \$ 64.71 (3) | 606 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 04/02/2019 | P | 2 (1) | A | \$ 64.71 (3) | 606 | I | Child's proportionate interest in shares held by Rankin Associates VI |

| Class A Common Stock | 10,497 | I | Reporting Person?s proportionate interests in shares held by Rankin Associates II. |
|----------------------|--------|---|---|
| Class A Common Stock | 101 | I | proportionate interest in shares held by Rankin Associates V |
| Class A Common Stock | 7,326 | I | Held by Trust for the benefit of Reporting Person. |
| Class A Common Stock | 634 | I | Minor child's trust?s proportionate interests in shares held by Rankin Associates II. |
| Class A Common Stock | 563 | I | Reporting Person is trustee of Trust fbo minor child. (4) |
| Class A Common Stock | 722 | I | Held by spouse (4) |
| Class A Common Stock | 1,321 | I | Spouse's proportionate interests in shares held by Rankin Associates II. |
| Class A Common Stock | 475 | I | Minor child's trust?s proportionate interests in shares held by Rankin Associates II. |

| | | | <u>(4)</u> |
|--|-----|-----------|---|
| Class A Common Stock | 722 | I | Reporting Person is trustee of Trust fbo minor child. (4) |
| Class A Common Stock | 853 | I | Minor child's trust?s proportionate interests in shares held by Rankin Associates II. |
| Reminder: Report on a separate line for each class of securities beneficially ov | | | |
| D | | - 11 4! 4 | CEC 1474 |

3. Transaction Date 3A. Deemed

1. Title of 2.

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SEC 1474 (9-02)

6. Date Exercisable and 7. Title and Amount of 8. Price o

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

5.

| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Transactic Code (Instr. 8) | | | Underlying Securities (Instr. 3 and 4) | | Derivative Security (Instr. 5) | |
|--------------------------------------|---|------------------|---|----------------------------------|---------|---------------------|--|----------------------------|--------------------------------------|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Class B Common Stock | <u>(5)</u> | | | | | <u>(5)</u> | (5) | Class A Common Stock | 10,497 | |
| Class B Common Stock | (5) | | | | | (5) | (5) | Class A Common Stock | 10,756 | |

| Class B Common Stock | (5) | (5) | (5) | Class A Common Stock | 634 |
|----------------------------|------------|------------|------------|----------------------------|-------|
| Class B Common Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A Common Stock | 563 |
| Class B Common Stock | <u>(5)</u> | <u>(5)</u> | (5) | Class A Common Stock | 722 |
| Class B Common Stock | (5) | (5) | (5) | Class A Common Stock | 1,321 |
| Class B Common Stock | (5) | (5) | (5) | Class A Common Stock | 475 |
| Class B Common Stock | <u>(5)</u> | <u>(5)</u> | (5) | Class A Common Stock | 722 |
| Class B Common Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A Common Stock | 853 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SEELBACH CHLOE R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact

04/03/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Apr-1-Weighted Average Share Price represents average price between \$64.20 and \$64.99.
- (3) 2019-Apr-2-Weighted Average Share Price represents average price between \$64.29 and \$64.99.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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