

Reinseth Theresa E
Form 3
April 09, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Reinseth Theresa E		(Month/Day/Year)	3M CO [MMM]	
(Last)	(First)	(Middle)	04/01/2019	
3M CENTER			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
MAPLEWOOD, MN 55144			☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) Vice President/CAO	6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	835.4771	D	
Common Stock	444	I	By 401k/paesop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (1)	Â (1)	Common Stock	394	\$ (2)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	289	\$ (2)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	269	\$ (2)	D	Â
Non-qualified Stock Option (Right to Buy)	02/07/2013	02/07/2022	Common Stock	33	\$ 87.89	D	Â
Non-qualified Stock Option (Right to Buy)	02/05/2014	02/03/2023	Common Stock	795	\$ 101.49	D	Â
Non-qualified Stock Option (Right to Buy)	02/04/2015	02/02/2024	Common Stock	913	\$ 126.72	D	Â
Non-qualified Stock Option (Right to Buy)	02/03/2016	02/03/2025	Common Stock	368	\$ 165.94	D	Â
Non-qualified Stock Option (Right to Buy)	02/02/2017	02/02/2026	Common Stock	1,664	\$ 147.87	D	Â
Non-qualified Stock Option (Right to Buy)	02/07/2018	02/07/2027	Common Stock	2,947	\$ 175.76	D	Â
Non-qualified Stock Option (Right to Buy)	02/06/2019	02/04/2028	Common Stock	1,621	\$ 233.63	D	Â
Non-qualified Stock Option (Right to Buy)	02/04/2020	02/04/2029	Common Stock	1,578	\$ 201.12	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Reinseth Theresa E 3M CENTER MAPLEWOOD, MN 55144	Â	Â	Â Vice President/CAO	Â

Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Ms.
Reinseth

04/08/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The restricted stock units will vest 100% three years from the grant date of 02/07/2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.
- (3) The restricted stock units will vest 100% three years from the grant date of 02/26/2018.
- (4) The restricted stock units will vest 100% in three years from the grant date of 02/05/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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