

RANKIN JAMES T

Form 4

April 26, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN JAMES T

2. Issuer Name **and** Ticker or Trading  
Symbol  
HYSTER-YALE MATERIALS  
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/25/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	04/25/2019		P	1	<u>(1)</u>	A	\$ 64.99	516	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/26/2019		P	1	<u>(1)</u>	A	\$ 64.99	612	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc

Class A Common Stock	04/26/2019	P	1 <u>(1)</u>	A	\$ 64.99	612	I	VI shares  Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	04/26/2019	P	1 <u>(1)</u>	A	\$ 64.99	613	I	Spouse's proportionate interest in shares held by Rankin Associates VI <u>(2)</u>
Class A Common Stock	04/26/2019	P	1 <u>(1)</u>	A	\$ 64.99	612	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/26/2019	P	1 <u>(1)</u>	A	\$ 64.99	612	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						9,562	I	proportionate limited partnership interests in shares held by RA II LP
Class A Common Stock						102	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock						569	I	child's proportionate limited partnership interest in shares held by Rankin Associates II

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Class A Common Stock	15,853	I	Held by Trust
Class A Common Stock	862	I	Spouse's proportionate limited partnership interests in shares held by Rankin Associates II LP <sup>(2)</sup>
Class A Common Stock	563	I	Shares held by spouse <sup>(2)</sup>
Class A Common Stock	761	I	Minor child's proportionate interests in shares held by Rankin Associates II. <sup>(2)</sup>
Class A Common Stock	1,860	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. <sup>(2)</sup>
Class A Common Stock	645	I	co-trustee of trust for the benefit of minor niece <sup>(2)</sup>
Class A Common Stock	1,712	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares. <sup>(2)</sup>
Class A Common Stock	563	I	co-trustee of the trust for the benefit of the minor nephew <sup>(2)</sup>

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(3)							(3)	(3)	Class A Common Stock	9,562	
Class B Common Stock	(3)							(3)	(3)	Class A Common Stock	569	
Class B Common Stock	(3)							(3)	(3)	Class A Common Stock	15,683	
Class B Common Stock	(3)							(3)	(3)	Class A Common Stock	862	

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Class B Common Stock	<u>(3)</u>	<u>(3)</u>	<u>(3)</u>	Class A Common Stock	563
Class B Common Stock	<u>(3)</u>	<u>(3)</u>	<u>(3)</u>	Class A Common Stock	761
Class B Common Stock	<u>(3)</u>	<u>(3)</u>	<u>(3)</u>	Class A Common Stock	1,860
Class B Common Stock	<u>(3)</u>	<u>(3)</u>	<u>(3)</u>	Class A Common Stock	645
Class B Common Stock	<u>(3)</u>	<u>(3)</u>	<u>(3)</u>	Class A Common Stock	1,712
Class B Common Stock	<u>(3)</u>	<u>(3)</u>	<u>(3)</u>	Class A Common Stock	563

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN JAMES T 5875 LANDERBROOK DRIVE				Member of a Group

MAYFIELD HEIGHTS, OH 44124

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

04/26/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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