#### Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

#### PATRIOT NATIONAL BANCORP INC

Form 4

August 06, 2009

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DECARO ANGELO			suer Name <b>and</b> Ticker or Trading	5. Relationship Issuer	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
			RIOT NATIONAL BANCOI [PNBK]	RP (Ch				
(Last)	(First) (N		e of Earliest Transaction h/Day/Year)	X_ Officer (gi	10%			
C/O PATRIOT NATIONAL			1/2009	below)	below) nairman and CEO	•		
BANK, 900	BEDFORD STR	EET		Cil	ianman and CLO			
(Street)			mendment, Date Original	6. Individual or	6. Individual or Joint/Group Filing(Check Applicable Line)			
			Month/Day/Year)	Applicable Line)				
					y One Reporting Po			
STAMFORI	O, CT 06901			Person	More than One Re	eporting		
(City)	(State)	(Zip) T	able I - Non-Derivative Securities	Acquired, Disposed	of, or Beneficial	lly Owned		
1.Title of Security	2. Transaction Date (Month/Day/Year)		3. 4. Securities if TransactionAcquired (A) or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		

							=		=
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(msu. 4)
Common Stock							20,000	I	Trustee For Others
Common Stock							610,963	D	
Common Stock	08/04/2009		S	1,406	D	\$ 3	609,557	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. onNumber	6. Date Exerc Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month Day/Tear)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Under Secur	rlying	Security (Instr. 5)	Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	returnismps					
	Director	10% Owner	Officer	Other		
DECARO ANGELO						
C/O PATRIOT NATIONAL BANK						

900 BEDFORD STREET STAMFORD, CT 06901 X Chairman and CEO

Relationshins

## **Signatures**

D

/s/ Angelo De Caro by William W. Bouton III
POA

08/05/2009

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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