Avago Technologies LTD Form 4 March 20, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

**DRIVE** 

1. Name and Address of Reporting Person \* Maslowski Anthony E.

C/O AVAGO TECHNOLOGIES

U.S. INC., 1320 RIDDER PARK

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Avago Technologies LTD [AVGO]

(Month/Day/Year)

3. Date of Earliest Transaction

03/18/2015

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director \_X\_\_ Officer (give title

10% Owner \_ Other (specify

SVP & Chief Financial Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SAN JOSE, CA 95131

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit onDisposed (Instr. 3, 4	of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Ordinary Shares	03/18/2015		M <u>(1)</u>	5,000	A	\$ 35.31	78,872 (2)	D		
Ordinary Shares	03/18/2015		S(3)	11,705	D	\$ 128.6476 (4)	67,167 (2)	D		
Ordinary Shares	03/19/2015		G(5)	86	D	\$ 0	10,400	I	See Footnote (6)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

I	1. Title of Derivative Security Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
(	Stock Option (Right to	\$ 35.31	03/18/2015		M <u>(1)</u>		5,000	<u>(7)</u>	03/05/2019	Ordinary Shares	5,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Maslowski Anthony E. C/O AVAGO TECHNOLOGIES U.S. INC. 1320 RIDDER PARK DRIVE

SVP & Chief Financial Officer

SAN JOSE, CA 95131

## **Signatures**

/s/ Patricia H. McCall, Attorney-in-Fact for Anthony
Maslowski

03/20/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) Includes 67,167 Restricted Share Units.
- (3) Includes 5,000 shares sold pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (4) Transaction executed in multiple trades at prices ranging from \$128.43 to \$128.86 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a

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security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

- (5) Charitable gift.
- (6) The shares are held by The Anthony E. Maslowski Trust dated May 20, 2011 for which the Reporting Person serves as trustee.
- (7) The total number of shares underlying the option vest in two (2) successive and equal installments on March 6, 2015 and March 6, 2016. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.