

TORTOISE PIPELINE & ENERGY FUND, INC.

Form 5

January 13, 2017

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).Form 3 Holdings
Reported
Form 4
Transactions
Reported**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
Expires: January 31,
2005
Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
ADAMS P. BRADLEY

(Last) (First) (Middle)

11550 ASH STREET, SUITE 300

(Street)

2. Issuer Name and Ticker or Trading
Symbol
TORTOISE PIPELINE & ENERGY
FUND, INC. [TTP]3. Statement of Issuer's Fiscal Year Ended
(Month/Day/Year)
11/30/20164. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chief Executive Officer

6. Individual or Joint/Group Reporting

(check applicable line)

LEAWOOD, KS 66211

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	12/01/2015	Â	L	Amount (A) or (D) 2.63 (1) A \$ 17.47	112.96	I	By wife
Common Shares	03/01/2016	Â	L	3.37 (1) A \$ 12.67	112.96	I	By wife
Common Shares	06/01/2016	Â	L	2.54 (1) A \$ 17.37	112.96	I	By wife
Common Shares	09/01/2016	Â	L	2.29 (1) A \$ 19.68	112.96	I	By wife

