TORTOISE PIPELINE & ENERGY FUND, INC.

Form 5

January 13, 2017

# FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL OMB APPROVAL OMB APPROVAL OMB APPROVAL 3235-0362

Washington, D.C. 20549

Expires: January 31, 2005

Form 4 or Form 5 obligations may continue. *See* Instruction

Check this box if

no longer subject

to Section 16.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
Reported
Form 4 30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer ADAMS P. BRADLEY Symbol TORTOISE PIPELINE & ENERGY (Check all applicable) FUND, INC. [TTP] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 11/30/2016 Chief Executive Officer 11550 ASH STREET, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

#### LEAWOOD, KSÂ 66211

\_X\_Form Filed by One Reporting Person \_\_Form Filed by More than One Reporting Person

(City)	(State)	Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares	12/01/2015	Â	L	Amount 2.63 (1)	or (D)	Price \$ 17.47	4) 112.96	I	By wife
Common Shares	03/01/2016	Â	L	3.37 (1)	A	\$ 12.67	112.96	I	By wife
Common Shares	06/01/2016	Â	L	2.54 (1)	A	\$ 17.37	112.96	I	By wife
Common Shares	09/01/2016	Â	L	2.29 (1)	A	\$ 19.68	112.96	I	By wife

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ADAMS P. BRADLEY 11550 ASH STREET SUITE 300 LEAWOOD, KSÂ 66211

Â Â Chief Executive Officer Â

### **Signatures**

P. Bradley 01/12/2017 Adams

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the Tortoise Pipeline & Energy Fund, Inc. dividend reinvestment plan price. This transaction is being reported on Form 5 pursuant to Rule 16a-6.
- (2) Includes 7.87 shares acquired under the Tortoise Pipeline & Energy Fund, Inc. dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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