Edgar Filing: WhiteHorse Finance, Inc. - Form 4

WhiteHorse Finance, Inc. Form 4 September 24, 2015

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MNAYMNEH SAMI Issuer Symbol WhiteHorse Finance, Inc. [WHF] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) _X__ 10% Owner Director _ Other (specify Officer (give title 1450 BRICKELL AVENUE, 31ST 09/18/2015 below) below) **FLOOR** 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **MIAMI, FL 33131** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) Code V | 4. Securi on(A) or D (Instr. 3, Amount | ispose 4 and (A) or | ed of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|--|---|------------------------------|-------------|--|--|---|
| Common Stock, par value \$0.001 per share | | | | | | | 172,813 | Ι | See footnote (1) |
| Common Stock, par value \$0.001 per share | 09/18/2015 | 09/22/2015 <u>(3)</u> | Р | 6,671 | A | \$ 13.09 | 7,999,628 | I | See footnote (2) |
| Common Stock, par | 09/21/2015 | 09/22/2015 <u>(3)</u> | Р | 8,350 | А | \$ 13.18 | 8,007,978 | Ι | See footnote |

| value \$0.001 per share | | | | | | | | (2) |
|---|------------|---|-------|---|-------------|-----------|---|--------------------|
| Common Stock, par value \$0.001 per share | 09/22/2015 | Р | 8,164 | A | \$ 13.16 | 8,016,142 | I | See footnote (2) |
| Common Stock, par value \$0.001 per share | 09/23/2015 | Р | 8,400 | A | \$ 13.18 | 8,024,542 | I | See footnote (2) |
| Common Stock, par value \$0.001 per share | 09/24/2015 | Р | 6,756 | A | \$ 13.26 | 8,031,298 | I | See footnote (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|------------------------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

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Reporting Owner Name / Address
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Relationships

Director 10% Owner Officer Other

MNAYMNEH SAMI 1450 BRICKELL AVENUE, 31ST FLOOR MIAMI, FL 33131

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Signatures

/s/ Marco Collazos, Attorney-in-Fact for Sami Mnaymneh

**Signature of Reporting Person

Date

09/24/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mr. Mnaymneh is the General Partner and Manager of Mnaymneh H.I.G. Management, L.P., which owns the reported securities. Mr. (1) Mnaymneh disclaims beneficial ownership of shares of common stock held by Mnaymneh H.I.G. Management, L.P., except to the extent

of his direct pecuniary interest therein.

Due to his ownership interest in the General Partner of H.I.G. Bayside Debt & LBO Fund II, L.P. and H.I.G. Bayside Loan Opportunity Fund II, L.P., Mr. Mnaymneh may be viewed as having investment power over all of the shares owned by each entity. Mr. Mnaymneh

- (2) Fund II, L.I., Mr. Minayimien may be viewed as naving investment power over an of the strates owned by each entry. Mr. Minayimien disclaims beneficial ownership of shares of common stock held by H.I.G. Bayside Debt & LBO Fund II, L.P. and H.I.G. Bayside Loan Opportunity Fund II, L.P., except to the extent of his direct pecuniary interest therein.
- (3) The date of execution was determined in accordance with Rule 16a-3(g)(2) and (g)(4) under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. >

/s/ Maryjo Cohen

(Signature) Maryjo Cohen, President

and Chief Executive Officer

Date: October 31, 2011