

Sorrento Therapeutics, Inc.  
Form 3  
May 03, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol
Â Asia Pacific MedTech (BVI) Ltd			(Month/Day/Year)	Sorrento Therapeutics, Inc. [SRNE]
(Last)	(First)	(Middle)		
C/O OFFSHORE INCORPORATIONS LIMITED,Â OFFSHORE INCORPORATIONS CENTRE POBOX 957			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
			____ Director	__X__ 10% Owner
			____ Officer	____ Other
			(give title below)	(specify below)
ROAD TOWN, TORTOLA,Â D8Â VG110			6. Individual or Joint/Group Filing(Check Applicable Line)	
(City)	(State)	(Zip)	____ Form filed by One Reporting Person	
			__X__ Form filed by More than One Reporting Person	

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	9,301,801	D <u>(1)</u>	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Sorrento Therapeutics, Inc. - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock Warrants (Right to Buy)	06/07/2016	06/07/2019	Common Stock	261,438	\$ 8.5
					D <sup>(1)</sup>
					Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Asia Pacific MedTech (BVI) Ltd C/O OFFSHORE INCORPORATIONS LIMITED OFFSHORE INCORPORATIONS CENTRE POBOX 957 ROAD TOWN, TORTOLA, Â D8Â VG110	Â	Â X	Â	Â
Gu Nana C/O OFFSHORE INCORPORATIONS LIMITED OFFSHORE INCORPORATIONS CENTRE POBOX 957 ROAD TOWN, TORTOLA, Â D8Â VG1110	Â	Â X	Â	Â

## Signatures

/s/ Nana Gu, Asia Pacific MedTech (BVI) Limited-Director	05/03/2017
<u>                    </u> **Signature of Reporting Person	Date
/s/ Nana Gu	05/03/2017
<u>                    </u> **Signature of Reporting Person	Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 3 is being filed by Asia Pacific MedTech (BVI) Limited as the direct beneficial owner of the shares of Common Stock and  
**(1)** Common Stock Warrants. Nana Gu is the indirect beneficial owner of the shares of Common Stock and Common Stock Warrants in her capacity as the sole director and sole shareholder of Asia Pacific MedTech (BVI) Limited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.