## Edgar Filing: MCCARRIER BRIAN C - Form 5

## MCCARRIER BRIAN C Form 5 February 11, 2003

FORM 5

UNITES STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Files pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Reported Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

- [] Check here if no longer subject to Section 16 Form 4 or Form 5 obligations may continue. See instruction 1(b)
- [] Form 3 Holdings
- [] Form 4 Transactions Reported

1. Name and Address of Reporting Person\* 2. Issuer Name and Ticker or Trading Symbol 6. Rel

Emclaire Financial Corp. (EMCF)

- [X] Direct
  - [ ] Office below)

McCarrier Brian C.

(Last) (First) (Middle) 3. I.R.S. Identification 4. Statement Number of Reporting Person, if an entity (Voluntary) 12/02

Butler PA 16001
-----(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficia

1.Title of Security 2.Transaction Date 2A. Deemed Execution 3. Transaction 4. Securities 5. (Instr. 3) (Month/Day/Year) Date, if any Code (Instr. Acquired (Month 8) (A) or /Day/Year) Disposed

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of (D) (Instr. 3, 4, and 5)

Amount (A) or (D) Pric Common Stock (1) Includes 41.870 shares acquired in 2002 under Emclaire Financial Corp.'s dividend reinvestment \* If the form is filed by more than one reporting person, see instruction 4(b)(v). Potential pe collection c form are not form display number. FORM 5 (continue) Table II - Derivative Securities Acquired, Disposed of or Beneficial (e.g., puts, calls, warrants, options, convertible securities 1. Title of 2. 3. 3A. 4. 5. Number of 6. Date 7. Incre Derivative Conversion Transaction Deemed Transac- Derivative Exercisable and Security or Date Execution tion Securities and Amount (Instr. 3) Exercise (Month/ Date if Code Acquired (A) Expiration of Price Day/Year) any (Instr. or Date Under-Sof (Month/ 8) Disposed (Month lying (Day/Year) of Date (D) /Day Securi Disposed (Month lying (of Date(D) /Day Securi (Instr. 3, /Year) -ties Day/Year) Security 4, and 5)(Instr. 3 and 4) (A) (D) Date Expir- Ti Exercis- ation able Date

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Explanation of Responses:

/s/ Brian C. McCarrier

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\*\*Signature of Reporting Person

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless form displays a currently valid OMB number.