WABCO Holdings Inc.

Form 4 June 03, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction

obligations

may continue.

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MONTUPET JEAN PAUL L

2. Issuer Name and Ticker or Trading Symbol

WABCO Holdings Inc. [WBC]

Issuer

5. Relationship of Reporting Person(s) to

(Last)

(City)

1. Title of

Security

(Instr. 3)

(First)

below)

3. Date of Earliest Transaction

(Month/Day/Year) 05/30/2013

X\_ Director Officer (give title

10% Owner Other (specify

C/O WABCO HOLDINGS, INC., ONE CENTENNIAL **AVENUE** 

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

(Instr. 4)

I

(Check all applicable)

Form filed by More than One Reporting

PISCATAWAY, NJ 08855

(State) (Zip) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Middle)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

(A)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership

(Month/Day/Year)

(Instr. 8)

Following Reported Transaction(s)

(Instr. 3 and 4)

Common Stock

05/30/2013

Code V Amount (D) A 733

2,610

Price

Deferred Stock Units (1)

(9-02)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: WABCO Holdings Inc. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.         | 6. Date Exerc       | cisable and       | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|------------|---------------------|-------------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |            | Expiration D        | ate               | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of         | (Month/Day/         | Year)             | Under    | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |            | e                   |                   | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities |                     |                   | (Instr.  | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |                       | Acquired   |                     |                   |          |          |             | Follo  |
|             | ·           |                     |                    |                       | (A) or     |                     |                   |          |          |             | Repo   |
|             |             |                     |                    |                       | Disposed   |                     |                   |          |          |             | Trans  |
|             |             |                     |                    |                       | of (D)     |                     |                   |          |          |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3, |                     |                   |          |          |             | `      |
|             |             |                     |                    |                       | 4, and 5)  |                     |                   |          |          |             |        |
|             |             |                     |                    |                       | , ,        |                     |                   |          |          |             |        |
|             |             |                     |                    |                       |            |                     |                   |          | Amount   |             |        |
|             |             |                     |                    |                       |            | Date<br>Exercisable | Expiration e Date |          | or       |             |        |
|             |             |                     |                    |                       |            |                     |                   | Title    | Number   |             |        |
|             |             |                     |                    |                       |            | Lacicisable         |                   |          | of       |             |        |
|             |             |                     |                    | Code V                | (A) (D)    |                     |                   |          | Shares   |             |        |

# **Reporting Owners**

#### Relationships

Reporting Owner Name / Address  $\begin{array}{ccc} \text{Director} & 10\% & \text{Officer} & \text{Other} \\ \end{array}$ 

MONTUPET JEAN PAUL L C/O WABCO HOLDINGS, INC. ONE CENTENNIAL AVENUE PISCATAWAY, NJ 08855



## **Signatures**

/s/ Thomas P. Conaghan, Attorney-in-Fact

06/03/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The deferred stock units ("DSUs") were granted as part of the reporting person's annual retainer. The DSUs equal the reporting person's equity retainer fee divided by the closing price of WABCO's common stock on the day before the grant date. Each whole deferred stock unit represents a contingent right to receive 1 share of WABCO common stock. The DSUs are 100% vested upon grant and must be

(1) issued in shares of common stock as soon as possible following the earliest to occur of: (i) the reporting person's death or Disability as defined in the Award Agreement; (ii) a "Change in Control" as defined in the Omnibus Incentive Plan; (iii) the reporting person's "separation from service" (as defined under applicable tax rules) from WABCO; or (iv) 13 months after the grant date, or, if chosen by the reporting person, an "optional payment date" which must be later than 5 years and 1 month after the grant date. Mr. Montupet chose June 30, 2020 as his optional payment date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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