

ACTUANT CORP
Form 4
October 09, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KOBYLINSKI BRIAN

(Last) (First) (Middle)

**N86 W12500 WESTBROOK
CROSSING**

(Street)

MENOMONEE FALLS, WI 53051

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ACTUANT CORP [ATU]

3. Date of Earliest Transaction
(Month/Day/Year)
10/07/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Exec. VP - Industrial

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (D) | Price |
| Class A Common Stock | 10/07/2013 | | M | | 588 | A | \$ 28.11 |
| Class A Common Stock | 10/07/2013 | | S | | 588 | D | \$ 38 |
| Class A Common Stock | 10/07/2013 | | M | | 5,152 | A | \$ 24.77 |
| Class A Common | 10/07/2013 | | S | | 5,152 | D | \$ 38 |

Stock

Class A
Common
Stock

2,304 ⁽¹⁾ I

By Deferred
Compensation
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (right to buy) ⁽²⁾ | \$ 28.11 | 10/07/2013 | | M | 588 | ⁽³⁾ 01/12/2016 | Class A Common Stock | 588 |
| Employee Stock Option (right to buy) ⁽⁴⁾ | \$ 24.77 | 10/07/2013 | | M | 5,152 | ⁽⁵⁾ 07/06/2016 | Class A Common Stock | 5,152 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| KOBYLINSKI BRIAN N86 W12500 WESTBROOK CROSSING MENOMONEE FALLS, WI 53051 | | | Exec. VP - Industrial | |

Signatures

/s/ Eric Orsic, as
Attorney-in-Fact

10/09/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Best estimate of shares held pursuant to Actuant Corporation Deferred Compensation Plan.
 - (2) Option granted under the Actuant Corporation 2001 Stock Option Plan.
 - (3) Fifty percent of the option became exercisable on 1/12/2009 and the balance became exercisable on 1/12/2011.
 - (4) Option granted under the Actuant Corporation 2002 Stock Option Plan.
 - (5) Fifty percent of the option became exercisable on 7/6/2009 and the balance became exercisable on 7/6/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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