

ACTUANT CORP
Form 3
April 15, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Bockhorst Kenneth</p> <p>(Last) (First) (Middle)</p> <p>C/O ACTUANT CORPORATION,Â N86 W12500 WESTBROOK CROSSING</p> <p>(Street)</p> <p>MENOMONEE FALLS,Â WIÂ 53051</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>04/11/2016</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ACTUANT CORP [ATU]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Exec. VP, Energy Segment</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Class A Common Stock	31,843 ⁽¹⁾	D	Â
Class A Common Stock	3,378 ⁽²⁾	I	By 401(k)
Class A Common Stock	96 ⁽³⁾	I	By Deferred Compensation Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy) ⁽⁴⁾	10/20/2019	10/20/2024	Class A Common Stock	1,370	\$ 29.62	D	Â
Employee Stock Option (right to buy) ⁽⁴⁾	01/07/2020	01/07/2025	Class A Common Stock	989	\$ 25.29	D	Â
Employee Stock Option (right to buy) ⁽⁴⁾	Â ⁽⁵⁾	01/20/2025	Class A Common Stock	16,609	\$ 22.98	D	Â
Employee Stock Option (right to buy) ⁽⁴⁾	04/06/2020	04/06/2025	Class A Common Stock	1,053	\$ 24.46	D	Â
Employee Stock Option (right to buy) ⁽⁴⁾	Â ⁽⁶⁾	01/16/2026	Class A Common Stock	20,638	\$ 21.41	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bockhorst Kenneth C/O ACTUANT CORPORATION N86 W12500 WESTBROOK CROSSING MENOMONEE FALLS, WI 53051	Â	Â	Â Exec. VP, Energy Segment	Â

Signatures

/s/ Barrett Lopez, as
attorney-in-fact

04/15/2016

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 20,057 restricted stock units granted pursuant to the Actuant Corporation 2009 Omnibus Incentive Plan.
Represents the best estimate of the number of share equivalents held by the reporting person in the unitized stock fund of the Actuant
 - (2) 401(k) Plan. The unitized stock fund consists of stock of Actuant and cash and other short term investments. The number of Actuant share equivalents fluctuates depending on the ratio of the number of shares of stock of Actuant in the fund to other investments.
 - (3) Best estimate of shares held pursuant to the Actuant Corporation Deferred Compensation Plan.

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- (4) Option granted under the Actuant Corporation 2009 Omnibus Incentive Plan.
- (5) Fifty percent of the option becomes exercisable on 01/20/2018 and the balance becomes exercisable on 01/20/2020.
- (6) Fifty percent of the option becomes exercisable on 01/16/2019 and the balance becomes exercisable on 01/16/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.