

DANESH FARIBA

Form 3

August 05, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â DANESH FARIBA

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/05/2009

3. Issuer Name and Ticker or Trading Symbol
Avago Technologies LTD [AVGO]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP & GM,Fiber Opt. Prod. Div.

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonC/O AVAGO TECHNOLOGIES
LIMITED,Â 350 WEST
TRIMBLE ROAD

(Street)

SAN JOSE,Â CAAÂ 95131

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Ordinary Shares

46,296

I

By Trust ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: DANESH FARIBA - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	Â (2)	08/21/2016	Ordinary Shares	200,000	\$ 6.48	D	Â
Stock Options (right to buy)	Â (3)	08/21/2016	Ordinary Shares	125,000	\$ 6.48	D	Â
Stock Options (right to buy)	Â (4)	10/31/2017	Ordinary Shares	87,500	\$ 10.22	D	Â
Stock Options (right to buy)	Â (5)	10/31/2017	Ordinary Shares	87,500	\$ 10.22	D	Â
Stock Options (right to buy)	Â (6)	03/02/2019	Ordinary Shares	50,000	\$ 10	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DANESH FARIBA C/O AVAGO TECHNOLOGIES LIMITED 350 WEST TRIMBLE ROAD SAN JOSE, CA 95131	Â	Â	Â SVP & GM, Fiber Opt. Prod. Div.	Â

Signatures

/s/ Patricia H. McCall, Attorney-in-Fact for Fariba Danesh

08/05/2009

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by Fariba Danesh as Trustee for the Fariba Danesh Revocable Trust, dated June 28, 2001.
- (2) Option is exercisable with respect to 120,000 of the shares subject thereto. The remaining balance vests in two (2) successive and equal installments commencing on June 16, 2012, such that the option will be fully vested and exercisable on June 16, 2013.
- (3) Option vests with respect to 20% of the shares subject thereto on each anniversary of June 16, 2006, such that the option will be fully vested and exercisable on June 16, 2011.
Option is exercisable with respect to 17,500 of the shares subject thereto, and will vest with respect to an additional 17,500 shares on November 1, 2009. The remaining balance vests in three (3) successive and equal installments commencing on November 1, 2012, such that the option will be fully vested and exercisable on November 1, 2014.
- (5) Option vests with respect to 20% of the shares subject thereto on each anniversary of November 1, 2007, such that the option will be fully vested and exercisable on November 1, 2012.
- (6) Option vests with respect to 20% of the shares subject thereto on each anniversary March 3, 2009, such that the option will be fully vested and exercisable on March 3, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.