Edgar Filing: GENWORTH FINANCIAL INC - Form 4

GENWORTH FINANCIAL INC Form 4 October 02, 2013						
FORM A			OMB A	PPROVAL		
- UNITED STA	ATES SECURITIES AND EXCHANGE Washington, D.C. 20549	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549				
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	January 3 ⁻ Expires: 200 Estimated average burden hours per response 0.					
(Print or Type Responses)						
1. Name and Address of Reporting Person MOFFETT DAVID M	 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [GNW] 	Issuer		f Reporting Person(s) to ck all applicable)		
(Last) (First) (Middl C/O GENWORTH FINANCIAL INC., 6620 WEST BROAD STR	(Month/Day/Year) , 09/30/2013	X Director Officer (give t below)	Officer (give title Other (specify			
(Street) RICHMOND, VA 23230	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)	Table I - Non-Derivative Securities A		, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Exe any (Moth/Day/Year)		SecuritiesForBeneficially(II)Owned(II)	orm: Direct D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	information cont required to respo	spond to the collect ained in this form a ond unless the form ntly valid OMB cont	are not 1	EC 1474 (9-02)		

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities (Month/Day/Y Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		/Year)	(Instr. 3 and	4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Deferred Stock Units	<u>(1)</u>	09/30/2013		А	2,305.172		<u>(1)</u>	(1)	Class A Common Stock	2,305.172

Reporting Owners

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
MOFFETT DAVID M C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230	Х				
Signatures					
/s/ Christine A. Ness, by power of attorney	1()/02/2013			
**Signature of Reporting Person		Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- (2) The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$12.3635 per share of Class A Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.