

QUARTA CLAUDIO
Form SC 13D/A
October 04, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13D

Under the Securities Exchange Act of 1934

(Amendment No. 2)*

Vicuron Pharmaceuticals Inc.

(Name of Issuer)

Common Stock, par value \$0.001 per share

(Title of Class of Securities)

926471103

(CUSIP Number)

Edgar Filing: QUARTA CLAUDIO - Form SC 13D/A

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

September 22, 2004

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. x (Only with respect to James H. Cavanaugh, Ph.D.).

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1. NAME OF REPORTING PERSON; I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Claudio Quarta, Ph.D.

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a)

(b)

3. SEC USE ONLY

4. SOURCE OF FUNDS (SEE INSTRUCTIONS):

PF

5. CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)

6. CITIZENSHIP OR PLACE OF ORGANIZATION:

Italy

7. SOLE VOTING POWER:

NUMBER OF **1,387,202 shares**

SHARES 8. SHARED VOTING POWER:

BENEFICIALLY

OWNED BY **None**

EACH 9. SOLE DISPOSITIVE POWER:

REPORTING

PERSON **1,387,202 shares**

WITH 10. SHARED DISPOSITIVE POWER:

None

11. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

1,387,202 shares

12. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW 11 EXCLUDES CERTAIN SHARES*

13. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 11:

2.5%¹

14. TYPE OF REPORTING PERSON:

IN

¹ Percentages (for all reporting persons herein) are based on 55,017,455 shares of common stock outstanding on August 30, 2004. In accordance with the rules of the Securities and Exchange Commission, each person's percentage interest is calculated by dividing the number of shares that such person beneficially owns, calculated under Rule 13d-3 of the Act, by the sum of (a) the total number of shares of common stock outstanding on August 30, 2004 plus (b) the number of shares that such person has the right to acquire within 60 days of August 30, 2004 (including, for example, upon exercise of options that are vested as of August 30, 2004 or that are scheduled to vest within 60 days of August 30, 2004).

1. NAME OF REPORTING PERSON; I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Francesco Parenti, Ph.D.

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) ..

(b) ..

3. SEC USE ONLY

4. SOURCE OF FUNDS (SEE INSTRUCTIONS):

PF

5. CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E) ..

6. CITIZENSHIP OR PLACE OF ORGANIZATION:

Italy

7. SOLE VOTING POWER:

NUMBER OF **923,038 shares**

SHARES 8. SHARED VOTING POWER:

BENEFICIALLY

OWNED BY **None**

EACH 9. SOLE DISPOSITIVE POWER:

REPORTING

PERSON **923,038 shares**

WITH 10. SHARED DISPOSITIVE POWER:

None

11. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

923,038 shares

12. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW 11 EXCLUDES CERTAIN SHARES*

13. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 11:

1.7%²

14. TYPE OF REPORTING PERSON:

IN

² See Footnote 1.

1. NAME OF REPORTING PERSON; I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

George F. Horner III

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a)

(b)

3. SEC USE ONLY

4. SOURCE OF FUNDS (SEE INSTRUCTIONS):

PF

5. CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)

6. CITIZENSHIP OR PLACE OF ORGANIZATION:

United States

7. SOLE VOTING POWER:

NUMBER OF **898,437 shares³**

SHARES **8. SHARED VOTING POWER:**

BENEFICIALLY

OWNED BY **None**

EACH **9. SOLE DISPOSITIVE POWER:**

REPORTING

PERSON **898,437 shares³**

WITH **10. SHARED DISPOSITIVE POWER:**

None

11. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

898,437 shares³

12. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW 11 EXCLUDES CERTAIN SHARES*

x

13. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 11:

1.6%⁴

14. TYPE OF REPORTING PERSON:

IN

³ Mr. Horner's beneficial ownership includes 11,250 shares of common stock held by Mr. Horner, 3,125 shares held by Mr. Horner's son, 3,125 shares held by Mr. Horner's daughter and 887,187 shares subject to options held by Mr. Horner that are exercisable within 60 days of August 30, 2004. However, Mr. Horner disclaims beneficial ownership of the shares held by his son and daughter except to the extent of his pecuniary interest therein.

⁴ See Footnote 1.

1. NAME OF REPORTING PERSON; I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

James H. Cavanaugh, Ph.D.

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a)

(b)

3. SEC USE ONLY

4. SOURCE OF FUNDS (SEE INSTRUCTIONS):

AF; OO

5. CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(D) OR 2(E)

6. CITIZENSHIP OR PLACE OF ORGANIZATION:

United States

7. SOLE VOTING POWER:

NUMBER OF	31,063 shares⁵
SHARES	
BENEFICIALLY	
OWNED BY	1,561,400 shares⁵
EACH	
REPORTING	
PERSON	31,063 shares
WITH	

8. SHARED VOTING POWER:

9. SOLE DISPOSITIVE POWER:

10. SHARED DISPOSITIVE POWER:

1,561,400 shares

11. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

1,592,463 shares

12. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW 11 EXCLUDES CERTAIN SHARES*

13. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 11:

2.9%⁶

14. TYPE OF REPORTING PERSON:

IN

- ⁵ Dr. Cavanaugh's beneficial ownership includes 10,179 shares of common stock held by Dr. Cavanaugh, 20,884 shares subject to options held by Dr. Cavanaugh that are exercisable within 60 days of August 30, 2004, and 1,561,400 shares held by HealthCare Ventures V, L.P. (HCV), a Delaware limited partnership. Dr. Cavanaugh is a general partner of HealthCare Partners V, L.P., which is the general partner of HCV. As such, he may be deemed to have voting and dispositive power over the shares held by HCV. However, Dr. Cavanaugh disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.
- ⁶ See Footnote 1.

CUSIP NO. 926471103

13D/A

Page 6 of 9 pages

This statement constitutes Amendment No. 2 to the Schedule 13D initially filed on August 9, 2002, as amended on March 17, 2003, regarding Vicuron Pharmaceuticals Inc., a Delaware corporation formerly known as Versicor Inc. Only those items that are reported hereby are amended. All other items remain unchanged. All capitalized terms shall have the meanings assigned to them in the Schedule 13D, as amended to date, unless otherwise indicated herein.

Item 1.

(a) Name of Issuer:

Vicuron Pharmaceuticals Inc. (formerly known as Versicor Inc.) (Vicuron)

(b) Address of Issuer's Principal Executive Office:

455 S. Gulph Road, Suite 305, King of Prussia, Pennsylvania 19406

Item 4.

(a) Purpose of Transaction.

Stockholders Agreement

Mr. Horner and Drs. Cavanaugh, Quarta and Parenti (the Stockholders) previously entered into that certain Stockholders Agreement dated as of July 30, 2002 (the Stockholders Agreement). On September 22, 2004, the Stockholders entered into that certain Amendment of Stockholders Agreement (the Amendment) pursuant to which the Stockholders terminated the Stockholders Agreement as of September 22, 2004.

For more details on the Stockholders Agreement, please see the description set forth in Item 6 below, the copy of the Stockholders Agreement, which was attached as Exhibit 3 to the initial filing of this Schedule 13D and is incorporated by reference herein, and the Amendment to the Stockholders Agreement, which is attached hereto as Exhibit 7 and is incorporated by reference herein.

Item 5. Interest in Securities of the Issuer.

Edgar Filing: QUARTA CLAUDIO - Form SC 13D/A

(a) and (b) Ownership of Shares of Vicuron:

The following information with respect to the ownership of the common stock by the natural persons identified in the tables preceding Item 1 of this Amendment No. 2 to Schedule 13D (the Reporting Persons) is provided as of the date of this Amendment No. 2 to Schedule 13D, except as otherwise described herein.

Amount beneficially owned:

See Row 11 of cover page for each Reporting Person and related footnotes.

Percent of class:

See Row 13 of cover page for each Reporting Person and related footnotes.

Number of shares as to which the person has:

- (i) Sole power to vote or to direct the vote:

See Row 7 of cover page for each Reporting Person and related footnotes.

- (ii) Shared power to vote or to direct the vote:

See Row 8 of cover page for each Reporting Person and related footnotes.

- (iii) Sole power to dispose or to direct the disposition of:

See Row 9 of cover page for each Reporting Person and related footnotes.

- (iv) Shared power to dispose or to direct the disposition of:

See Row 10 of cover page for each Reporting Person and related footnotes.

(c) Describe recent transactions.

Except for the Amendment entered into by the Stockholders, the Reporting Persons have not effected any transaction relating to Vicuron securities during the past 60 days, except as follows:

on September 14, 2004, Dr. Quarta sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.43 per share;

on September 14, 2004, Dr. Quarta sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.41 per share;

on September 14, 2004, Dr. Quarta sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.3269 per share;

on September 14, 2004, Dr. Quarta sold 2,500 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.31 per share;

on September 14, 2004, Dr. Quarta sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.2684 per share;

on August 2, 2004, Dr. Parenti sold 4,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$10.00 per share;

Edgar Filing: QUARTA CLAUDIO - Form SC 13D/A

on August 2, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$10.08 per share;

on August 3, 2004, Dr. Parenti sold 145 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$10.10 per share;

on August 3, 2004, Dr. Parenti sold 2,500 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.9204 per share;

on August 4, 2004, Dr. Parenti sold 2,500 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.7072 per share;

on August 4, 2004, Dr. Parenti sold 2,500 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.80 per share;

on August 4, 2004, Dr. Parenti sold 2,355 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.90 per share;

on August 5, 2004, Dr. Parenti sold 1,603 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.80 per share;

on August 6, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.20 per share;

on August 6, 2004, Dr. Parenti sold 3,397 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.5473 per share;

on August 9, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.40 per share;

on August 9, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.35 per share;

on August 10, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$8.95 per share;

on August 10, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.00 per share;

on August 10, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.10 per share;

on August 11, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.17 per share;

on August 11, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.25 per share;

Edgar Filing: QUARTA CLAUDIO - Form SC 13D/A

on August 11, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$9.50 per share;

on August 12, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$13.00 per share;

on August 12, 2004, Dr. Parenti sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$13.10 per share;

on August 12, 2004, Dr. Parenti sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$12.50 per share;

on August 12, 2004, Dr. Parenti sold 4,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$12.80 per share;

on August 13, 2004, Dr. Parenti sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$12.80 per share;

on August 13, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$12.50 per share;

on August 13, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$12.30 per share;

on August 16, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$12.65 per share;

on August 16, 2004, Dr. Parenti sold 4,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$13.00 per share;

on August 17, 2004, Dr. Parenti sold 3,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$13.00 per share;

on September 9, 2004, Dr. Parenti sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.0034 per share;

on September 9, 2004, Dr. Parenti sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.0160 per share;

on September 9, 2004, Dr. Parenti sold 5,000 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.0442 per share;

on September 9, 2004, Dr. Parenti sold 700 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.00 per share;

Edgar Filing: QUARTA CLAUDIO - Form SC 13D/A

on September 13, 2004, Dr. Parenti sold 4,300 shares of common stock in an open-market sale on the Nasdaq National Market at a price of \$16.4051 per share;

On August 13, 2004, Dr. Parenti exercised stock options to purchase 50,000 shares at an exercise price of \$10.62 per share and sold the 50,000 shares in an open-market sale on the Nasdaq National Market at a price of \$12.40 per share.

On August 16, 2004, Dr. Parenti exercised stock options to purchase 35,000 shares at an exercise price of \$10.62 per share and sold the 35,000 shares in an open-market sale on the Nasdaq National Market at a price of \$12.77 per share.

On August 18, 2004, Dr. Parenti exercised stock options to purchase 30,000 shares at an exercise price of \$10.62 per share and sold the 30,000 shares in an open-market sale on the Nasdaq National Market at a price of \$13.75 per share.

On August 20, 2004, Dr. Parenti exercised stock options to purchase 20,000 shares at an exercise price of \$10.62 per share and sold the 20,000 shares in an open-market sale on the Nasdaq National Market at a price of \$14.90 per share.

On September 9, 2004, Dr. Parenti exercised stock options to purchase 2,000 shares at an exercise price of \$10.62 per share and sold the 2,000 shares in an open-market sale on the Nasdaq National Market at a price of \$15.75 per share.

On September 9, 2004, Dr. Parenti exercised stock options to purchase 4,000 shares at an exercise price of \$10.62 per share and sold the 4,000 shares in an open-market sale on the Nasdaq National Market at a price of \$15.71 per share.

On September 9, 2004, Dr. Parenti exercised stock options to purchase 4,000 shares at an exercise price of \$10.62 per share and sold the 4,000 shares in an open-market sale on the Nasdaq National Market at a price of \$15.70 per share.

On September 13, 2004, Dr. Parenti exercised stock options to purchase 10,000 shares at an exercise price of \$10.62 per share and sold the 10,000 shares in an open-market sale on the Nasdaq National Market at a price of \$16.62 per share.

On September 21, 2004, Dr. Parenti exercised stock options to purchase 10,000 shares at an exercise price of \$10.62 per share and sold the 10,000 shares in an open-market sale on the Nasdaq National Market at a price of \$15.88 per share.

On September 22, 2004, Dr. Parenti exercised stock options to purchase 1,200 shares at an exercise price of \$10.62 per share and sold the 1,200 shares in an open-market sale on the Nasdaq National Market at a price of \$15.30 per share.

On September 22, 2004, Dr. Parenti exercised stock options to purchase 2,000 shares at an exercise price of \$10.62 per share and sold the 2,000 shares in an open-market sale on the Nasdaq National Market at a price of \$15.28 per share.

On September 22, 2004, Dr. Parenti exercised stock options to purchase 6,800 shares at an exercise price of \$10.62 per share and sold the 6,800 shares in an open-market sale on the Nasdaq National Market at a price of \$15.25 per share.

On September 22, 2004, Dr. Parenti exercised stock options to purchase 10,000 shares at an exercise price of \$10.62 per share and sold the 10,000 shares in an open-market sale on the Nasdaq National Market at a price of \$15.89 per share.

On September 27, 2004, Dr. Parenti exercised stock options to purchase 2,500 shares at an exercise price of \$10.62 per share and sold the 2,500 shares in an open-market sale on the Nasdaq National Market at a price of \$15.00 per share.

(e) **Date the reporting person ceased to be the beneficial owner of more than 5% of the class of securities (if applicable).**

On September 22, 2004, each of the Reporting Persons ceased to be a beneficial owner of more than 5% of the class of securities.

Item 6. Contracts, Arrangements, Understandings or Relationship With Respect to Securities of the Issuer.

Stockholders Agreement

On July 30, 2002, the Stockholders entered into the Stockholders Agreement, which

required the parties thereto to take and refrain from taking certain actions with regard to voting the shares of common stock held by them as specified in the Stockholders Agreement. On September 22, 2004, the Stockholders entered into the Amendment pursuant to which the Stockholders terminated the Stockholders Agreement as of September 22, 2004.

The foregoing description of the Stockholders Agreement is qualified in its entirety by reference to the full text of such agreement, which was attached as Exhibit 3 to the initial filing of this Schedule 13D and is incorporated by reference herein, and the Amendment to the Stockholders Agreement, which is attached hereto as Exhibit 7 and is incorporated by reference herein.

Item 7. Material to be Filed as Exhibits.

Exhibit 1 [intentionally omitted]

Exhibit 2 [intentionally omitted]

Exhibit 3 Stockholders Agreement dated as of July 30, 2002, by and among George F. Horner III, Dr. James H. Cavanaugh, Dr. Claudio Quarta and Dr. Francesco Parenti (previously filed as Exhibit 3 to the Initial Filing of this Schedule 13D filed August 9, 2002 and incorporated herein by this reference).

Exhibit 4 [intentionally omitted]

Exhibit 5 [intentionally omitted]

Exhibit 6 [intentionally omitted]

Exhibit 7 Amendment of Stockholders Agreement dated as of September 22, 2004, by and among George F. Horner III, Dr. James H. Cavanaugh, Dr. Claudio Quarta and Dr. Francesco Parenti.

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Claudio Quarta, Ph.D.

Date: September 30, 2004

/s/ CLAUDIO QUARTA, Ph.D.

Claudio Quarta, Ph.D.

Francesco Parenti, Ph.D.

Date: October 1, 2004

/s/ FRANCESCO PARENTI, Ph.D.

Francesco Parenti, Ph.D.

George F. Horner III

Date: October 1, 2004

/s/ GEORGE F. HORNER III

George F. Horner III

James H. Cavanaugh, Ph.D.

Date: October 4, 2004

By:

/s/ JEFFREY STEINBERG

Jeffrey Steinberg, Attorney-in-Fact

EXHIBIT INDEX

Pursuant to Item 601(a)(2) of Regulation S-K, this exhibit index immediately precedes the exhibits.

Exhibit No.	Description
Exhibit 1	[intentionally omitted]
Exhibit 2	[intentionally omitted]
Exhibit 3	Stockholders Agreement dated as of July 30, 2002, by and among George F. Horner III, Dr. James H. Cavanaugh, Dr. Claudio Quarta and Dr. Francesco Parenti (previously filed as Exhibit 3 to the Initial Filing of this Schedule 13D filed August 9, 2002 and incorporated herein by this reference).
Exhibit 4	[intentionally omitted]
Exhibit 5	[intentionally omitted]
Exhibit 6	[intentionally omitted]
Exhibit 7	Amendment of Stockholders Agreement dated as of September 22, 2004, by and among George F. Horner III, Dr. James H. Cavanaugh, Dr. Claudio Quarta and Dr. Francesco Parenti.