

ADA-ES INC
Form 8-K
January 14, 2013

U.S. SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF

THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): January 8, 2013

ADA-ES, INC.

(Name of registrant as specified in its charter)

Colorado
(State or other jurisdiction

of incorporation)

000-50216
(Commission

File Number)

84-1457385
(I.R.S. Employer

Identification Number)

9135 South Ridgeline Blvd., Highlands Ranch, Colorado 80129

(Address of principal executive offices) (Zip Code)

Edgar Filing: ADA-ES INC - Form 8-K

Registrant's telephone number, including area code: (303) 734-1727

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 7.01 Regulation FD Disclosure

On January 14 and 15, 2013, Michael D. Durham, President and CEO, and Mark McKinnies, Senior Vice President and Chief Financial Officer, of ADA-ES, Inc. (ADA) will present at several investor meetings in Boston and are scheduled to present at the Needham Growth Conference in New York City on January 15, 2013. A copy of the slides to be used in the investor meetings and in the presentation at the Needham Growth Conference will be available via the Investor Information section of ADA s website at www.adaes.com on or before January 14, 2013. A copy of the presentation materials is attached hereto as Exhibit 99.1.

On January 8, 2013, we issued a press release announcing Mr. Durham s and Mr. McKinnies scheduled presentation. A copy of the press release is furnished as Exhibit 99.2 to this report.

Item 9.01. Financial Statements and Exhibits

(d) The following items are furnished as exhibits to this report:

99.1 January 2013 Presentation.

99.2 Press Release, ADA-ES, Inc. to Present at 15th Annual Needham Growth Conference dated January 8, 2013.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Date: January 14, 2013

ADA-ES, Inc.

Registrant

/s/ Mark H. McKinnies

Mark H. McKinnies

Senior Vice President and Chief Financial Officer

INDEX TO EXHIBITS

Exhibit No.	Description
99.1	January 2013 Presentation.
99.2	Press Release, ADA-ES, Inc. to Present at 15 th Annual Needham Growth Conference dated January 8, 2013.