FINK LAURENCE

Form 4 July 22, 2005

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading FINK LAURENCE Issuer Symbol BLACKROCK INC /NY [BLK] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_ Director X\_ Officer (give title C/O BLACKROCK FINANCIAL 07/20/2005 below) MANAGEMENT, INC., 40 EAST Chairman and CEO

**52ND STREET** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

**OMB APPROVAL** 

10% Owner

Other (specify

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### NEW YORK, NY 10022

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	Securi	ities Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4)	sposed and 5	of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Shares of Class A			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock (par value \$0.01 per share)	07/20/2005		C(1)	87,793	A	(2)	169,208 (3)	D	
Shares of Class A Common Stock (par value \$0.01	07/21/2005		S	800	D	\$ 83.16	168,408 (3)	D	

per share)							
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	100	D	\$ 83.01	168,308 ( <u>3)</u>	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	100	D	\$ 82.97	168,208 <u>(3)</u>	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	400	D	\$ 82.96	167,808 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	300	D	\$ 82.95	167,508 <u>(3)</u>	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	300	D	\$ 82.93	167,208 <u>(3)</u>	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	400	D	\$ 82.92	166,808 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	400	D	\$ 82.91	166,408 (3)	D
Shares of Class A Common Stock (par	07/21/2005	S	300	D	\$ 82.9	166,108 (3)	D

value \$0.01 per share)								
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005		S	500	D	\$ 82.89	165,608 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005		S	200	D	\$ 82.88	165,408 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005		S	200	D	\$ 82.85	165,208 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005		S	100	D	\$ 82.82	165,108 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005		S	200	D	\$ 82.8	164,908 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005		S	200	D	\$ 82.78	164,708 <u>(3)</u>	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005		S	200	D	\$ 82.77	164,508 (3)	D
Shares of Class A Common	07/21/2005		S	700	D	\$ 82.76	163,808 (3)	D

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Stock (par value \$0.01 per share)							
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	1,500	D	\$ 82.75	162,308 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	100	D	\$ 82.74	162,208 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	800	D	\$ 82.73	161,408 <u>(3)</u>	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	500	D	\$ 82.72	160,908 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	600	D	\$ 82.71	160,308 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	500	D	\$ 82.7	159,808 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	1,700	D	\$ 82.69	158,108 (3)	D
Shares of Class A	07/21/2005	S	1,200	D	\$ 82.68	156,908 (3)	D

Common Stock (par value \$0.01 per share)							
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	700	D	\$ 82.67	156,208 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	200	D	\$ 82.66	156,008 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	700	D	\$ 82.65	155,308 (3)	D
Shares of Class A Common Stock (par value \$0.01 per share)	07/21/2005	S	2,200	D	\$ 82.64	153,108 (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (Ir
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Class B Common Stock (par value \$0.01 per share)

Class A 07/20/2005  $\mathbf{C}$ 87,793 12/31/2002 (4) Common 87,793 <u>(2)</u> Stock

**Reporting Owners** 

Relationships Reporting Owner Name / Address

> Officer Director 10% Owner Other

FINK LAURENCE C/O BLACKROCK FINANCIAL MANAGEMENT, INC. 40 EAST 52ND STREET NEW YORK, NY 10022

X Chairman and CEO

**Signatures** 

Daniel R. Waltcher as Attorney-in-Fact for 07/22/2005 Laurence Fink

> \*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person converted 87,793 shares of Class B Common Stock (See Table I) into 87,793 shares of Class A Common Stock on (1) 07/20/2005.
- (2) One share of Class B Common Stock is convertible into one share of Class A Common Stock.
  - Includes 2,619 shares of Class A Common Stock acquired under the BlackRock, Inc. Employee Stock Purchase Plan through January 31, 2005. Also includes 1,209 shares of Class A Common Stock acquired by the reporting person through June 30, 2005 under the PNC
- Financial Services Group, Inc. Incentive Savings Plan (the "ISP"). The information on this report with respect to the ISP is based on a plan statement dated as of June 30, 2005.
- (4) The shares of Class B Common Stock can be converted at any time into shares of Class A Common Stock.
- The reporting person has direct ownership of 1,337,056.28 shares of Class B Common Stock and indirect ownership of 142,340 shares of Class B Common Stock through the Laurence D. Fink and Lori W. Fink Irrevocable Family Trust U/A/D 2/2/98.

#### **Remarks:**

This is the first of two Forms 4 filed by the reporting person on the same date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 6