Edgar Filing: BALDWIN TECHNOLOGY CO INC - Form 4

BALDWIN TECHNOLOGY CO INC

Form 4

September 06, 2005

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|----|---|---|---|

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * HARA AKIRA

2. Issuer Name and Ticker or Trading

Symbol

3. Date of Earliest Transaction

BALDWIN TECHNOLOGY CO

5. Relationship of Reporting Person(s) to

Issuer

INC [BLD]

X_ Director 10% Owner Other (specify

(Check all applicable)

Officer (give title

C/O BALDWIN JAPAN LTD., 4-34

(Street)

(State)

(First)

TOYO 2-CHOME

(Zip)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

09/01/2005

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

KOHTOH-KU, M0 Tokyo 135

| | | | | | | - | • • | * | ~ |
|------------------------|---|-------------------------------|------------------|-------------------------------|--------|-------|---|---------------------------|-----------------------|
| 1.Title of Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3. Transactio | 4. Securitie on(A) or Disp | | | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect |
| (Instr. 3) | | any | Code | (Instr. 3, 4 | and 5) | | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned | Indirect (I) | Ownership |
| | | | , | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | | | | Reported | · · | · · · · · · |
| | | | | | (A) | | Transaction(s) | | |
| | | | | | or | | (Instr. 3 and 4) | | |
| | | | Code V | Amount | (D) | Price | , | | |
| Class A | | | | | | | | | |
| Common | 09/01/2005 | | S | 202,536 | D | (1) | 245,382 | D | |
| Stock | *************************************** | | ~ | | _ | | , | _ | |
| Stock | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 0) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|---|---------------------|-----------------|---|----------------------------------|
| | | | | Code V | (A) (D | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | <u>(1)</u> | 09/01/2005 | | A | 202,536 | (2) | (2) | Class A Common Stock | 202,536 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARA AKIRA C/O BALDWIN JAPAN LTD. 4-34 TOYO 2-CHOME KOHTOH-KU, M0 Tokyo 135



Signatures

Helen P. Oster under Power of Attorney for Akira
Hara
09/06/2005

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction involved disposition of 202,536 shares of Class A Common Stock of the Issuer in exchange for 202,536 shares of Class B Common Stock of the Issuer in a private transaction on September 1, 2005.
- (2) Class B Common Stock of the Issuer is exercisable, one for one, into Class A Common Stock of the Issuer, at any time, upon demand by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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