MCGEE LIAM E Form 4

May 08, 2012

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person	*
MCGEE LIAM E	

2. Issuer Name and Ticker or Trading Symbol

SERVICES GROUP INC/DE [HIG]

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

HARTFORD FINANCIAL

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_X\_\_ Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below)

ONE HARTFORD PLAZA

05/04/2012

Chairman, President and CEO

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HARTFORD, CT 06155

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative S	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4)	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/04/2012		P(1)	25,088	A	\$ 19.084	26,836.143	D	
Restricted Stock Unit							206,606.286	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 28.91					(2)	03/01/2021	Common Stock	302,045
Stock Options	\$ 20.63					<u>(3)</u>	02/28/2022	Common Stock	506,073
Restricted Units	<u>(4)</u>					<u>(4)</u>	02/25/2013	Common Stock	114,580.416
Deferred Units	<u>(5)</u>					<u>(5)</u>	02/25/2013	Common Stock	15,230.925
Deferred Units	<u>(6)</u>					<u>(6)</u>	05/03/2013	Common Stock	25,458.107
Deferred Units	<u>(7)</u>					<u>(7)</u>	08/06/2013	Common Stock	10,935.437

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
MCGEE LIAM E							
ONE HARTFORD PLAZA	X		Chairman, President and CEO				
HARTFORD CT 06155							

#### **Signatures**

/s/ Donald C. Hunt POA by Power of Attorney for Liam E. McGee dated January 31, 2012.

05/08/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a trading plan entered into in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934.

Reporting Owners 2

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- One-third of the options became exercisable on March 1, 2012, an additional one-third of the options will become exercisable on March 1, 2013 and the remaining one-third of the options will become exercisable on March 1, 2014, the third anniversary of the grant date.
- One-third of the options will become exercisable on February 28, 2013, an additional one-third of the options will become exercisable on (3) February 28, 2014, and the remaining one-third of the options will become exercisable on February 28, 2015, the third anniversary of the grant date.
- Each restricted unit will be settled in cash as soon as practicable, and in any event within 90 days, after February 25, 2013 (the "Valuation (4) Date"). The cash settlement payable per unit shall be equal to the closing stock price per share of the Company's common stock on the Valuation Date as reported on the New York Stock Exchange.
- One-third of the deferred unit award will be settled in cash as soon as practicable, and in any event within 90 days, after the first, second (5) and third anniversaries of the grant date (February 25, 2010) based on the Company's closing stock price on the New York Stock Exchange on the applicable anniversary date. Deferred units are fully vested when credited.
- One-third of the deferred unit award will be settled in cash as soon as practicable, and in any event within 90 days, after the first, second (6) and third anniversaries of the grant date (May 3, 2010) based on the Company's closing stock price on the New York Stock Exchange on the applicable anniversary date. Deferred units are fully vested when credited.
- One-third of the deferred unit award will be settled in cash as soon as practicable, and in any event within 90 days, after the first, second (7) and third anniversaries of the grant date (August 6, 2010) based on the Company's closing stock price on the New York Stock Exchange on the applicable anniversary date. Deferred units are fully vested when credited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.