NUVASIVE INC
Form 4
February 03, 2016

|  |  | OMB APPROVAL |
| :---: | :---: | :---: |
|  | UNITED STATES SECURITIES AND EXCHANGE COMMISSION <br> Washington, D.C. 20549 | $\begin{array}{ll}\text { OMB } \\ \text { Number: } & 3235-0287\end{array}$ |
| Check this box if no longer subject to |  | Expires: January 31, |
| subject to Section 16. | SECURITIES | Estimated average |
| Form 4 or |  | response... 0.5 |
| Form 5 obligations may continue. | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |  |
| See Instruction | 30(h) of the Investment Company Act of 1940 |  |
| 1(b). |  |  |

(Print or Type Responses)


SAN DIEGO, CA 92121

| (City) | (State) | (Zip) Ta | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year | 3. <br> Transac <br> Code <br> (Instr. 8 <br> Code | 4. Securit ion(A) or Dis (Instr. 3, 4 <br> Amount | ies Ac posed 4 and 5 <br> (A) or (D) | quired <br> of (D) <br> Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 02/01/2016 |  | M | 8,316 |  | \$ 0 | 26,881 ${ }^{(1)}$ | D |  |
| Common <br> Stock | 02/01/2016 |  | M | 4,397 | A | \$ 0 | 31,278 | D |  |
| Common <br> Stock | 02/01/2016 |  | M | 12,375 |  | \$ 0 | 43,653 ${ }_{(1)}$ | D |  |
| Common <br> Stock | 02/01/2016 |  | M | 2,661 | A | \$ 0 | 46,314 ${ }_{(1)}$ | D |  |
| Common Stock | 02/01/2016 |  | F | $\begin{aligned} & 11,434 \\ & \text { (2) } \end{aligned}$ | A | $\begin{aligned} & \$ \\ & 46.6 \end{aligned}$ | 34,880 ${ }_{(1)}^{(1)}$ | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.


Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. | 3. Transaction Date (Month/Day/Year) | 3A. Deemed <br> Execution Date if | 4. | 5. Number of Derivative | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Pr <br> Deri |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Security | or Exercise |  |  | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Secu |
| (Instr. 3) | Price of |  | (Month/Day/Year) | (Instr. 8) | Acquired (A) |  |  | (Inst) |
|  | Derivative |  |  |  | or Disposed of |  |  |  |
|  | Security |  |  |  | (D) |  |  |  |
|  |  |  |  |  | (Instr. 3, 4, |  |  |  |

Amount
$\left.\begin{array}{lllllllllllll} & & & & & & \begin{array}{l}\text { Amount } \\ \text { or }\end{array} \\ \text { Number } \\ \text { of Shares }\end{array}\right)$

## Reporting Owners

Reporting Owner Name / Address

## Relationships

Director 10\% Owner Officer Other
Hannon Jason
7475 LUSK BLVD
EVP, International
SAN DIEGO, CA 92121

## Signatures

/s/ Jason M.
Hannon
${ }_{-}^{* *}$ Signature of
02/03/2016

Reporting Person

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
(1)


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Shares directly held by the Reporting Person. The Reporting Person also holds conditional rights to receive shares pursuant to previously disclosed Issuer equity awards.
(2) Shares withheld by the Issuer to satisfy tax withholding obligations.
(3) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's common stock upon vesting.
(4) This RSU award was granted to the Reporting Person on February 26, 2013. In accordance with the terms of the RSU award, the award vested as to 8,316 shares on each of February 1, 2014, February 1, 2015 and February 1, 2016.
(5) This RSU award was granted to the Reporting Person on February 19, 2014. In accordance with the terms of the RSU award, the award vested as to 4,397 shares on each of February 1, 2015 and February 1, 2016, and will vest as to 4,397 shares on February 1, 2017.
(6) Each Performance Restricted Stock Unit ("PRSU") represents the right to receive one share of the Issuer's common stock upon vesting.
(7) This PRSU award was granted to the Reporting Person on February 19, 2014. In accordance with the terms of the PRSU award, the award vested as to 12,375 shares on February 1, 2016 and will vest as to 12,375 shares on February 1, 2017.

This PRSU award was granted to the Reporting Person on February 17, 2015. In accordance with the terms of the PRSU award, the award
(8) vested as to 2,661 shares on February 1, 2016 and will vest as to 2,660 shares on each of February 1, 2017, February 1, 2018 and February 1, 2019.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

