

NOCOPI TECHNOLOGIES INC/MD/
Form 5
February 11, 2016

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
GERWITZ HERMAN MARK

2. Issuer Name and Ticker or Trading Symbol
NOCOPI TECHNOLOGIES INC/MD/ [NNUP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2015

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O NOCOPI TECHNOLOGIES, INC., 480 SHOEMAKER ROAD, SUITE 104

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

KING OF PRUSSIA, PA 19406

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, \$.01 par value	Â	Â	Â	Â	Â	Â	6,000	I	IRA
Common stock, \$.01 par value	Â	Â	Â	Â	Â	Â	245,049	D	Â
	Â	Â	Â	Â	Â	Â	72,500	I	Daughter

Common stock, \$.01 par value

Common stock, \$.01 par value

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50,000

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M. Gerwitz
UGMA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying Security (Instr. 3 and 4)
					(A)	(D)	Date Exercisable	Expiration Date	
Convertible debenture	\$ 0.05	07/08/2014	^	P ⁽¹⁾	\$ 5,333	^	07/08/2016	07/08/2016	Common Stock
Common stock warrants	\$ 0.02	07/08/2014	^	P ⁽¹⁾	26,665	^	07/08/2016	07/08/2021	Common Stock
Common stock warrants	\$ 0.0703	07/08/2014	^	J ⁽¹⁾	^	4,500	05/06/2010	03/21/2015	Common Stock
Common stock warrants	\$ 0.0703	03/21/2015	^	J ⁽²⁾	^	3,000	05/06/2010	03/21/2015	Common Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

GERWITZ HERMAN MARK
C/O NOCOPI TECHNOLOGIES, INC.,
480 SHOEMAKER ROAD, SUITE 104
KING OF PRUSSIA, PA 19406

^ X ^ ^ ^

Signatures

Herman M.
Gerwitz

02/11/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Conversion of short-term loan.
- (2) Expiration of common stock warrants

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.