Edgar Filing: First Foundation Inc. - Form 4

First Foundation I	nc.												
Form 4 August 31, 2016													
FORM 4											OMB A	PPROVA	L
	UNITED	STATES		RITIES A				GE (COMMISSIO	N	OMB Number:	3235-	0287
Check this box if no longer subject to Section 16. Form 4 or	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							F	Estimated average burden hours per		ry 31, 2005 0.5		
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	-	a) of the H	Public U		lding	Con	npany A	Act o	ge Act of 1934 of 1935 or Secti 40				0.0
(Print or Type Respon	ises)												
1. Name and Address of Reporting Person <u>*</u> FIX WARREN D			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer					
	A. 1.11. \	First Foundation Inc. [FFWM]						(Check all applicable)					
(Last) (1 18101 VON KAF		Middle) TE 700		of Earliest T Day/Year) 2016	l'ransad	ction			X Director Officer (gi below)	ive tit		% Owner her (specify	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
IRVINE, CA 926	512								Person	у Мо	re than One R	eporting	
(City) (S	State)	(Zip)	Tab	le I - Non-	Deriva	ative	Securitie	es Ac	quired, Disposed	of,	or Beneficia	lly Ownee	d
	nsaction Date h/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	onAcqı Disp (Inst	bosed tr. 3, 4			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	For (D) (I)	Ownership rm: Direct) or Indirect str. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	ıl
Reminder: Report on	a separate line	for each cla	ass of sect	urities bene	eficiall	y owi	ned direct	tly or	indirectly.				
					ir re d	nform equir	nation co ed to re lys a cui	onta spor	oond to the colle ined in this form nd unless the fo ly valid OMB co	m ar orm	re not	SEC 1474 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr.

1

	Derivative Security			(/ D 01 (]	Acquired A) or Disposed f (D) Instr. 3, 4, nd 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock	<u>(1)</u>	08/03/2016	А	۷	498		11/30/2016	(2)	Common Stock	498	<u>(1</u>)

Reporting Owners

Reporting Owner Name / Address	Relationships									
reporting o wher runte / runtess	Director	10% Owner	Officer	Other						
FIX WARREN D 18101 VON KARMAN SUITE 700 IRVINE, CA 92612	Х									
Signatures										
'/s/ John M Michel, attorney in Warren Fix'	fact for		08/.	31/2016						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit grant, no exercise price
- (2) Restricted stock grant, expiration date not applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.