#### Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

#### **DUN & BRADSTREET CORP/NW**

Form 4

October 04, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

Stock

(Print or Type Responses)

1. Name and A BROWN C	Address of Reporting URTIS	Person *	Symbol	Name and			···s	5. Relationship of Issuer (Check	Reporting Person	`,
(Last) 103 JFK PA		Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/01/2016				Director 10% Owner Softier (give title Other (specify below) below)  Chief Content & Tech Officer			
SHORT HI	(Street) LLS, NJ 07078			ndment, Da nth/Day/Year	Č	1		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting Per	rson
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	on Date 2A. Deemed (Year) Execution Date, any (Month/Day/Ye		(A) or			d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/01/2016			M	942 (1)	A	\$0	2,211	D	
Common	10/01/2016			F	343 (2)	D	\$	1,868	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

136.23

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	ransaction Derivative ode Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisal	Expiration ole Date	Title	Amount or Number of Shares	
Leveraged Restrited Stock Units	(1)	10/01/2016		M	1,11 (1)	1 (1)	10/01/2017	Common Stock	942 (1)	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

BROWN CURTIS 103 JFK PARKWAY SHORT HILLS, NJ 07078

Chief Content & Tech Officer

## **Signatures**

/s/ Karen K. Pettiford-Wilson for Curtis D. Brown by POA previously filed

10/04/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the vesting and payout of the second tranche (1/3) of the leveraged restricted stock units (3,334) granted on October 1, 2014 at 84.8% of the target based on the issuer's stock price performance during the performance period from 1/1/2014 to 12/31/2015.
- (2) The reporting person made an irrevocable election to satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded through the deduction of shares from the vested amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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