Edgar Filing: WORTHINGTON INDUSTRIES INC - Form 4

WORTHINGTON INDUSTRIES INC

Form 4

November 14, 2016

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	OMB A OMB Number: Expires: Estimated a burden hou response	ırs per								
1. Name and Address of Reporting Person * RUSSELL MARK A			ssuer Name and ool RTHINGTOI [WOR]		_	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 200 OLD WILSON BRIDGE ROAD			te of Earliest Tr th/Day/Year) 0/2016	ansaction		Director 10% Owner Other (give title Other (specify below) Pres/COO, Worthington Industri				
(Street) COLUMBUS, OH 43085			Amendment, Da (Month/Day/Year	_		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (
	eurity (Month/Day/Year) Execution Da		Code ear) (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Shares						374,237	D			
Common Shares						17,056 <u>(1)</u>	I	By 401(k)		

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required to respond unless the form displays a currently valid OMB control

number.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exer Expiration D		7. Title and of Underlyin		8. Price of Derivative
Security	or Exercise	(World Day Tear)	any	Code	of	(Month/Day		Securities	15	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	` *		(Instr. 3 and 4)		(Instr. 5)	
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3, 4, and 5)					
					+, and 3)					
									Amount	
						Date	Expiration	Title	or Namel	
						Exercisable	Date	Title	Number of	
				Code V	(A) (D)				Shares	
phantom stock	<u>(2)</u>	11/10/2016		A	40	(3)	<u>(4)</u>	common	40	\$ 52.26

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RUSSELL MARK A 200 OLD WILSON BRIDGE ROAD COLUMBUS, OH 43085

Pres/COO, Worthington Industri

Signatures

/s/Mark A. Russell by Dale T. Brinkman, as attorney-in-fact

11/14/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The account is enrolled in the Company's dividend reinvestment plan and the amount listed is the most up-to-date information available.
- (2) The accounts track common shares on a one-for-one basis
- (3) Prior to October 1, 2014, the account balances related to the theoretical Company common share investment option could be immediately transferred to other investment options under the terms of the deferred compensation plan.
 - The Company amended its Amended and Restated 2005 Deferred Compensation Plan (The "Plan") effective October 1, 2014. The amendment includes a provision that effective October 1, 2014 and thereafter, any amount credited in a participant's account to the
- (4) phantom stock fund (i.e. theoretical Company common shares deemed investment option) may not be transferred to an alternative deemed investment option under the Plan until distribution from the Plan. Distributions are made only in common shares of the Company and generally commence upon leaving the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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