

EverBank Financial Corp
Form 4
November 17, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Surface John S

(Last) (First) (Middle)

501 RIVERSIDE AVENUE

(Street)

JACKSONVILLE, FL 32202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

EverBank Financial Corp [EVER]

3. Date of Earliest Transaction
(Month/Day/Year)

11/16/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)

Executive Vice President

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	11/16/2016		M	55,485	A \$ 13.21	284,844	D
Common Stock, par value \$0.01 per share	11/16/2016		S	55,485	D \$ 19.3181 (1)	229,359	D
Common Stock, par	11/16/2016		M	29,182	A \$ 13.88	258,541	D

Edgar Filing: EverBank Financial Corp - Form 4

value
\$0.01 per
share

Common
Stock, par
value 11/16/2016
\$0.01 per
share

S	29,182	D	\$	19.3181	229,359	D
			(1)			

Common
Stock, par
value 11/16/2016
\$0.01 per
share

M	183,333	A	\$	15.88	412,692	D
---	---------	---	----	-------	---------	---

Common
Stock, par
value 11/16/2016
\$0.01 per
share

S	183,333	D	\$	19.3181	229,359	D
			(1)			

Common
Stock, par
value 11/16/2016
\$0.01 per
share

M	23,240	A	\$	16.71	252,599	D
---	--------	---	----	-------	---------	---

Common
Stock, par
value 11/16/2016
\$0.01 per
share

S	23,240	D	\$	19.3181	229,359	D
			(1)			

Common
Stock, par
value 11/16/2016
\$0.01 per
share

S	178,748	D	\$	19.3241	50,611	D
			(2)			

Common
Stock, par
value 11/17/2016
\$0.01 per
share

S	35,252	D	\$	19.32	15,359	D
---	--------	---	----	-------	--------	---

Common
Stock, par
value
\$0.01 per
share

				19,257		I
--	--	--	--	--------	--	---

Held
through the
reporting
person's
relationship
with Surface
Investment
Partnership,
Ltd.

Edgar Filing: EverBank Financial Corp - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (right to buy)	\$ 13.21	11/16/2016		M		55,485		07/21/2011	07/20/2018	Common Stock, par value \$0.01 per share	55,485
Employee Stock Option (right to buy)	\$ 13.83	11/16/2016		M		29,182		02/27/2013	02/27/2022	Common Stock, par value \$0.01 per share	29,182
Employee Stock Option (right to buy)	\$ 15.88	11/16/2016		M		183,333		07/21/2012	07/20/2018	Common Stock, par value \$0.01 per share	183,333
Employee Stock Option (right to buy)	\$ 16.71	11/16/2016		M		23,240		03/06/2016	03/06/2023	Common Stock, par value \$0.01 per share	23,240

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Surface John S 501 RIVERSIDE AVENUE JACKSONVILLE, FL 32202	Executive Vice President

Signatures

By: Jean-Marc Corredor as Attorney
in Fact

11/17/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$19.31 to \$19.33, inclusive. The reporting person undertakes to provide to EverBank Financial Corp, any security holder of EverBank Financial Corp, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$19.32 to \$19.33, inclusive. The reporting person undertakes to provide to EverBank Financial Corp, any security holder of EverBank Financial Corp, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.