Edgar Filing: First Foundation Inc. - Form 4

First Foundat	ion Inc.									
February 15, 1	2017									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								-	PPROVAL 3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). See Curring (a) of the Section 16 (b) of the Section 17 (b) of the Section 17 (c) of the Secti						chang Act of	e Act of 1934, f 1935 or Sectio	January 3 200 Estimated average burden hours per response 0		
(Print or Type R	esponses)									
SONENSHINE JACOB Symbol			r Name and Ticker or Trading oundation Inc. [FFWM]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date o (Month/E 18101 VON KARMAN, SUITE 700 02/15/2			017				X Director Officer (give below)	10%	o Owner er (specify	
			ndment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
IRVINE, CA	92612						Form filed by M Person	Nore than One Re	porting	
(City)	(State) (2	Zip) Tab	le I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	on(A) or Dis (D) (Instr. 3, 4	posed and 5 (A) or	of j)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common stock	02/15/2017		Code V M	Amount 30,000	(D) A	Price \$ 5 (1)	80,816 <u>(2)</u>	Ι	by family trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. 6. Date Exercisable a onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		æ	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prio Deriv Secur (Instr.	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options	\$ 7.5 <u>(3)</u>						01/27/2012	01/26/2019	Common Stock	3,000 (3)	

Reporting Owners

Reporting Owner Name / Address	Relationships							
I O O O O O O O O O O O O O O O O O O O	Director	10% Owner	Officer	Other				
SONENSHINE JACOB 18101 VON KARMAN SUITE 700 IRVINE, CA 92612	Х							
Signatures								
'/s/ John M Michel attorney in a Sonenshine'	02/15/2017							
<u>**</u> Signature of Reportin	g Person			Date				

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option Exercise Price
- On January 18, 2017 First Foundation Inc.'s common stock split 2 for 1, resulting in the reporting person's indirect ownership of 25,408 (2) additional shares of common stock as of such date
- On January 18, 2017 First Foundation Inc.'s common stock split 2 for 1, resulting in the reporting person's ownership of twice as many (3) options with an exercise price being reduced by 50% as of such date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.