**BOX INC** Form 4 March 17, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Draper Fisher Jurvetson Fund VIII L P

(First)

(Middle)

C/O DRAPER FISHER JURVETSON, 2882 SAND HILL ROAD, SUITE 150

(State)

(Street)

4. If Amendment, Date Original

(Zip)

Filed(Month/Day/Year)

BOX INC [BOX]

(Month/Day/Year)

03/15/2017

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol

(Check all applicable)

Director X\_\_ 10% Owner Officer (give title \_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

MENLO PARK, CA 94025

Table I - Non-Derivative	Committee Acquire	I Disposed of o	n Donoficially Owned
Table I - Non-Derivative	Securities Acquired	i. Disposea of. o	r Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onor Disposed (Instr. 3, 4 and	of (D)	ed (A)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Class A Common Stock	03/15/2017		C(1)	1,490,428	A	(1)	1,490,428	I	By Fund VIII (2) (3) (4)
Class A Common Stock	03/15/2017		<u>J(5)</u>	1,490,428	D	<u>(5)</u>	0	I	By Fund VIII (2) (3) (4)
Class A Common Stock	03/15/2017		C(6)	33,121	A	<u>(6)</u>	33,121	I	By Partners VIII (2) (3) (4)

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Class A Common Stock	03/15/2017	J <u>(7)</u>	33,121	D	<u>(7)</u>	0	I	By Partners VIII (2) (3) (4)
Class A Common Stock	03/15/2017	C(8)	466,056	A	<u>(8)</u>	466,056	I	By Fund IX (2) (3) (4)
Class A Common Stock	03/15/2017	J <u>(9)</u>	466,056	D	<u>(9)</u>	0	I	By Fund IX (2) (3) (4)
Class A Common Stock	03/15/2017	C(10)	12,630	A	(10)	12,630	I	By Partners IX (2) (3) (4)
Class A Common Stock	03/15/2017	J <u>(11)</u>	12,630	D	(11)	0	I	By Partners IX (2) (3) (4)
Class A Common Stock	03/15/2017	J <u>(12)</u>	377,066	A	(12)	377,066	I	See footnote (13)
Class A Common Stock	03/16/2017	J <u>(14)</u>	377,066	D	<u>(14)</u>	0	I	See footnote (13)
Class A Common Stock	03/15/2017	J <u>(15)</u>	4,660	A	(15)	4,660	I	See footnote (16)
Class A Common Stock	03/16/2017	J <u>(17)</u>	4,660	D	<u>(17)</u>	0	I	See footnote (16)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1 Title of	2	3 Transaction Date	3Δ Deemed	4		5 Number of	6 Date Evercisable and	7. Title and Amount of
	Conversion			• •				Underlying Securities
	or Exercise	(-: <i>-</i> )	any	Code		Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	)	Acquired (A) or	•	,
	Derivative					Disposed of (D)		
	Security					(Instr. 3, 4, and 5)		
				Code	V	(A) (D)		Title
	1. Title of Derivative Security (Instr. 3)	Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative	Derivative Conversion (Month/Day/Year) Security or Exercise (Instr. 3) Price of Derivative	Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any (Instr. 3) Price of Derivative (Month/Day/Year)	Derivative Conversion (Month/Day/Year) Execution Date, if Transac Security or Exercise any Code (Instr. 3) Price of (Month/Day/Year) (Instr. 8 Derivative Security	Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Security or Exercise any Code (Instr. 3) Price of (Month/Day/Year) (Instr. 8)  Derivative Security	Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative Security or Exercise any Code Securities (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) or Derivative Security (Instr. 3, 4, and 5)	Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative Expiration Date Security or Exercise any Code Securities (Month/Day/Year)  Price of (Month/Day/Year) (Instr. 8) Acquired (A) or Derivative Disposed of (D) Security (Instr. 3, 4, and 5)

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					Date Exercisable	Expiration Date		Amount of Number of Shares
Class B Common Stock (18)	<u>(18)</u>	03/15/2017	C <u>(1)</u>	1,490,428	(18)	(18)	Class A Common Stock	1,490,42
Class B Common Stock (18)	(18)	03/15/2017	C <u>(6)</u>	33,121	<u>(18)</u>	(18)	Class A Common Stock	33,121
Class B Common Stock (18)	(18)	03/15/2017	C(8)	466,056	<u>(18)</u>	<u>(18)</u>	Class A Common Stock	466,050
Class B Common Stock (18)	<u>(18)</u>	03/15/2017	C(10)	12,630	(18)	(18)	Class A Common Stock	12,630

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FS</b>	Director	10% Owner	Officer	Other		
Draper Fisher Jurvetson Fund VIII L P C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X				
DRAPER FISHER JURVETSON PARTNERS VIII LLC C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X				
Draper Fisher Jurvetson Fund IX LP C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X				
Draper Fisher Jurvetson Partners IX, LLC C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X				
DRAPER ASSOCIATES L P C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X				
DRAPER TIMOTHY C C/O DRAPER FISHER JURVETSON		X				

Reporting Owners 3

	0	
2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		
Fisher John H N C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X
Jurvetson Stephen T C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X
BAILEY MARK W C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X
Schuler Barry C/O DRAPER FISHER JURVETSON 2882 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X
Signatures		
/s/ John Fisher, Managing	03/17/2017	

/s/ John Fisher, Managing Director	03/17/2017
**Signature of Reporting Person	Date
/s/ John Fisher, Managing Member	03/17/2017
**Signature of Reporting Person	Date
/s/ John Fisher, Managing Director	03/17/2017
**Signature of Reporting Person	Date
/s/ John Fisher, Managing Member	03/17/2017
**Signature of Reporting Person	Date
/s/ Timothy C. Draper, General Partner	03/17/2017
•	03/17/2017 Date
Partner	
Partner  **Signature of Reporting Person	Date
Partner  **Signature of Reporting Person  /s/ Timothy Draper	Date 03/17/2017
Partner  **Signature of Reporting Person  /s/ Timothy Draper  **Signature of Reporting Person	Date 03/17/2017 Date
Partner  **Signature of Reporting Person  /s/ Timothy Draper  **Signature of Reporting Person  /s/ John Fisher	Date 03/17/2017 Date 03/17/2017
Partner  **Signature of Reporting Person  /s/ Timothy Draper  **Signature of Reporting Person  /s/ John Fisher  **Signature of Reporting Person	Date 03/17/2017 Date 03/17/2017 Date
Partner  **Signature of Reporting Person  /s/ Timothy Draper  **Signature of Reporting Person  /s/ John Fisher  **Signature of Reporting Person  /s/ Stephen Jurvetson	Date 03/17/2017 Date 03/17/2017 Date 03/17/2017

Signatures 4

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/s/ Barry Schuler

03/17/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion of Class B Common Stock into Class A Common Stock held by Draper Fisher Jurvetson Fund VIII, L.P. (Fund VIII).
- The General Partner of Draper Associates, L.P. (DALP) is Draper Associates, Inc. which is controlled by its President and majority shareholder, Timothy C. Draper. DALP invests lockstep alongside Fund VIII and Draper Fisher Jurvetson Fund IX, L.P. (Fund IX).
  - Timothy C. Draper, John H.N. Fisher and Stephen T. Jurvetson are managing directors of the general partner entities of Fund VIII and Fund IX that directly hold shares and as such they may be deemed to have voting and investment power with respect to such shares. Draper Fisher Jurvetson Partners VIII, LLC (Partners VIII) invests lockstep alongside Fund VIII. Draper Fisher Jurvetson

John H.N. Fisher, Barry M. Schuler and Mark W. Bailey are managing directors of the general partner entities of Draper Fisher

- (3) Snares. Draper Fisher Jurvetson Partners VIII, LLC (Partners VIII) invests lockstep alongside Fund VIII. Draper Fisher Jurvetson Partners IX, LLC (Partners IX) invests lockstep alongside Fund IX. The managing members of Partners VIII and Partners IX are Timothy C. Draper, John H.N. Fisher and Stephen T. Jurvetson. These individuals disclaim beneficial ownership of these shares except to the extent of their pecuniary interest therein.
- Jurvetson Growth Fund 2006, L.P. (Growth Fund) that directly hold shares and as such they may be deemed to have voting and investment power with respect to such shares. Draper Fisher Jurvetson Partners Growth Fund 2006, LLC (Growth Partners) invests lockstep alongside Growth Fund. The managing members of Growth Partners are John H.N. Fisher, Barry M. Schuler, Mark W. Bailey, Timothy C. Draper and Stephen T. Jurvetson. These individuals disclaim beneficial ownership of these shares except to the extent of their pecuniary interest therein.
- Represents a pro rata in-kind distribution of Class A Common Stock of the Issuer by Fund VIII to its partners or members and includes the subsequent distribution by Draper Fisher Jurvetson Fund VIII Partners, L.P. to its respective partners or members.
- (6) Represents the conversion of Class B Common Stock into Class A Common Stock held by Partners VIII.
- (7) Represents a pro rata in-kind distribution of Class A Common Stock of the Issuer by Partners VIII to its partners or members.
- (8) Represents the conversion of Class B Common Stock into Class A Common Stock held by Fund IX.
- (9) Represents a pro rata in-kind distribution of Class A Common Stock of the Issuer by Fund IX to its partners or members.
- (10) Represents the conversion of Class B Common Stock into Class A Common Stock held by Partners IX.
- (11) Represents a pro rata in-kind distribution of Class A Common Stock of the Issuer by Partners IX to its partners or members.
- (12) Represents the receipt of shares of Class A Common Stock of the Issuer by virtue of the pro rata in-kind distribution by Fund VIII.
- (13) Shares held by Draper Fisher Jurvetson Fund VIII Partners, L.P. (Fund VIII Partners).
- (14) Represents a pro rata in-kind distribution of Class A Common Stock of the Issuer by Fund VIII Partners to its partners or members.
- (15) Represents the receipt of shares of Class A Common Stock of the Issuer by virtue of the pro rata in-kind distribution by Fund IX.
- (16) Shares held by Draper Fisher Jurvetson Fund IX Partners, L.P. (Fund IX Partners).
- (17) Represents a pro rata in-kind distribution of Class A Common Stock of the Issuer by Fund IX Partners to its partners or members.
- (18) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (19)
  1,490,740 of these shares are owned directly by DALP, 3,262,392 of these shares are owned directly by Fund IX, 10,432,997 of these shares are owned directly by Furthers IX, 231,843 of these shares are owned directly by Partners VIII, 1,390,544 of these shares are owned directly by Growth Fund, and 112,421 of these shares are owned directly by Growth Partners.

#### **Remarks:**

This report is filed as form 1 of 2 to report related transactions for the following filers: Draper Fisher Jurvetson Fund VIII, L.I Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.