Zatawski Keith Form 3 August 10, 2017

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AMPCO PITTSBURGH CORP [AP] Zatawski Keith (Month/Day/Year) 08/10/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 726 BELL AVENUE, Â SUITE (Check all applicable) 301 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Chief Risk Officer Person CARNEGIA, Â PAÂ 15106 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 1,606 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative (Instr. 4)	Security 2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Options	(1)	05/02/2023	common stock	5,500	\$ 17.16	D	Â
Non-Qualified Stock Options	(1)	04/29/2024	common stock	5,500	\$ 20	D	Â
Restricted Stock Units	(2)	(2)	common stock	742	\$ (3)	D	Â
Restricted Stock Units	(4)	(4)	common stock	1,248	\$ (3)	D	Â
Restricted Stock Units	(5)	(5)	common stock	858	\$ (3)	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>F-G</b> - ····· ······ · · · · · · · · ·	Director	10% Owner	Officer	Other	
Zatawski Keith 726 BELL AVENUE SUITE 301 CARNEGIA, PA 15106	Â	Â	Chief Risk Officer	Â	

## **Signatures**

/s/Masha Trainor, Attorney-In-Fact, POA Attached
Hereto

08/10/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Subject to acceleration in certain circumstances, the option becomes vested and exercisable under the following schedule assuming the optionee continues employment with the Issuer through the date of vesting: 33% on the first anniversary of the grant date, 66% on the second anniversary of the grant date and 100% on the third anniversary of the grant date.
- The reporting person received a grant of 2,226 restricted stock units, which vest in 3 annual installments, beginning on May 5, 2016. 1/3 (2) of the restricted stock units vested on May 5, 2016. 1/3 of the restricted stock units vested on May 5, 2017. The remainder will continue to vest in one installment through the remainder of the term.
- (3) Each restricted stock unit represents a contingent right to receive one share of Ampco-Pittsburgh Corporation common stock.
- The reporting person received a grant of 1,872 restricted stock units, which vest in 3 annual installments, beginning on May 5, 2017. 1/3 (4) of the restricted stock units vested on May 5, 2017. The remainder will continue to vest in two installments through the remainder of the
- (5) The restricted stock units vest in three equal annual installments beginning May 3, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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