Edgar Filing: Zimmerman Christopher - Form 4

Form 4 August 15, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box from longer subject to SECURITIES SEC	Zimmerman	h Christopher									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Washington, D.C. 20549 Mole and the box in the longer subject to Section 16 or Form 4 or Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Statement of the longer section 17(a) of the Public Utility Holding Company Act of 1935, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Statement of 1940 (here and Address of Reporting Person. (here) 2. Issuer Name and Ticker or Trading Symbol RITCHIE BROS AUCTIONEERS INC [RBA] Statement and Ticker or Trading Symbol RITCHIE BROS AUCTIONEERS State Aucer and Audress of Aucer and Audress aucor											
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or (Instr. 3 and 4) Code V Amount (D) Price							(Λ)	Reported	((
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.					Code V	Amount	(D) Price	(IIISU. 5 allu 4)			
	Reminder: Re	port on a separate line	e for each cl	ass of sec	urities benef	ficially ow	ned directly of	or indirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Ni	ımber	6. Date Exerc	cisable and	7. Title and A	Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionof		Expiration D	ate	Underlying S	Securities	Derivativ
Security	or Exercise		any	Code	Deriv	vative	(Month/Day/	Year)	(Instr. 3 and	4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Secu	rities					(Instr. 5)
	Derivative				Acqu	iired					
	Security				(A) c	or					
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					of (D))					
					(Inst	r. 3, 4,					
					and S	5)					
				Code V	(A)	(D)	Date	Expiration	Title	Amount	
				coue v	(11)	(D)	Exercisable	Date	The	or	
							Excicisable	Date		Number	
										of	
										Shares	
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Deferred									0		
Share	<u>(1)</u>	08/14/2017		А	612		(2)	(2)	Common	612	\$ 0
Units	<u></u>	00/1//2017		11	012		<u> </u>		Shares	012	ψυ
Units											

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	er Other				
Zimmerman Christopher C/O RITCHIE BROS. AUCTIONEERS INC. 9500 GLENLYON PARKWAY BURNABY, A1 V5J 0C6	Х							
Signatures								
Maria Teresa Punsalan as attorney-in-fact for C Zimmerman	Christophe	er	(08/15/2017				

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Deferred Share Unit ("DSU") represents the economic equivalent of one RBA common share.

Following the reporting person ceasing to hold any position as a director of RBA or any of its subsidiaries and not otherwise being employed by RBA or any of its subsidiaries ("Termination"), a lump sum cash payment will be made by RBA to the reporting person, net of tax withholding, calculated by multiplying the number of DSUs by the fair market value of one RBA common share as of (i) the 24th

(2) business day after the first publication by or on behalf of RBA of interim financial statements and MD&A for the fiscal quarter of the corporation following such Termination or (ii) where the Termination occurs in RBA's fourth fiscal quarter, the 24th business day (or such fewer number of business days as may be determined by the Committee) after the first publication by or on behalf of RBA of annual financial statements and MD&As for such fiscal year of RBA.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date