## Edgar Filing: LILLY STEVEN C - Form 4

| LILLY STE<br>Form 4<br>February 06<br><b>FORN</b><br>Check th<br>if no lon<br>subject to<br>Section<br>Form 4<br>Form 5<br>obligation<br>may cons<br><i>See</i> Instu<br>1(b). | b, 2018<br><b>A 4</b> UNITEI<br>his box<br>loger<br>16.<br>or<br>Filed pu<br>Section 17 | <b>MENT O</b><br>ursuant to<br>7(a) of the | Was<br>F CHAN<br>Section 1     | shingt<br>GES I<br>SEC<br>6(a) of<br>tility H   | on,<br>IN I<br>UR<br>f the<br>Iold | D.C. 205<br>BENEFI<br>ITIES<br>e Securiti<br>ling Com | 549<br>CIA<br>es Ez<br>pany | L OWN     | OMMISSION<br>NERSHIP OF<br>e Act of 1934,<br>1935 or Section<br>0  | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hour<br>response    |   |  |
|--|---|--|--------------------------------|---|------------------------------------|---|-----------------------------|-----------|--|---|---|--|
| (Print or Type Responses)  |   |  |                                |   |                                    |   |                             |           |  |   |   |  |
| LILLY STEVEN C Sy  |   |  |                                | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Triangle Capital CORP [TCAP] |                                    |   |                             |           | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |   |   |  |
| (Last)   | (First)   | (Middle)                                   | 3. Date of                     | f Earlies   | t Tra                              | ansaction   |                             |           | (Cneck   | c all applicable  | )   |  |
|  |   |  | (Month/Day/Year)<br>02/05/2018 |   |                                    |   |                             |           | X Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>CFO and Secretary                 |   |   |  |
|  |   |  |                                | endment, Date Original<br>onth/Day/Year)  |                                    |   |                             |           | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |   |   |  |
| RALEIGH, NC 27612  |   |  |                                | Form filed by M<br>Person   |                                    |   |                             |           | More than One Reporting  |   |   |  |
| (City)   | (State)   | (Zip)                                      | Tabl                           | e I - No  | n-D                                | erivative S   | Securi                      | ties Acqu | uired, Disposed of,  | or Beneficiall  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Da<br>(Month/Day/Year  | <ul> <li>Execution</li> <li>any</li> </ul> | med<br>n Date, if<br>Day/Year) | 3.<br>Transa<br>Code<br>(Instr.   | 8)                                 | 4. Securiti<br>n(A) or Dis<br>(Instr. 3, 4)           | sposed                      | l of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common   | 03/31/2017  |  |                                | G   | V                                  | 3,110   | D                           | \$0       | 272,173  | D   |   |  |
| Stock<br>Common  | 02/05/2018  |  |                                | F   |                                    | 17,836  | D                           | \$        | 255,622 <u>(1)</u>   | D   |   |  |
| Stock  | 02/03/2018  |  |                                | г   |                                    | 17,000  | D                           | 10.25     | 255,022 <u>(*)</u>   | D   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>lying                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|--|---------------------|--------------------|--|--|---|--|
|   |   |   |   | Code V                               | 7 (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

er

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |                 |                   |      |  |  |  |  |
|--|---------------|-----------------|-------------------|------|--|--|--|--|
|  | Director      | 10% Owner       | Officer           | Othe |  |  |  |  |
| LILLY STEVEN C<br>3700 GLENWOOD AVENUE<br>SUITE 530<br>RALEIGH, NC 27612 | Х             |                 | CFO and Secretary |      |  |  |  |  |
| Signatures   |               |                 |                   |      |  |  |  |  |
| Harry S. Pangas, attorney-in-fac<br>Lilly                                | ven C.        | n C. 02/06/2018 |                   |      |  |  |  |  |
| <u>**</u> Signature of Reporting Pe                                      |               | Date            |                   |      |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 1,285 shares of common stock received in 2017 pursuant to Triangle Capital Corporation's Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.