#### Edgar Filing: GOTTWALD THOMAS E - Form 5

GOTTWALD THOMAS E
Form 5
February 14, 2018

RICHMOND, VAÂ 23219

OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average **ANNUAL STATEMENT OF CHANGES IN BENEFICIAL** Form 4 or Form burden hours per **OWNERSHIP OF SECURITIES** 5 obligations response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported

1. Name and Address of Reporting Person <u>*</u> GOTTWALD THOMAS E	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
	NEWMARKET CORP [NEU]	(Check all applicable)				
(Last) (First) (Middle)	3. Statement for Issuer's Fiscal Year Ended	(Check an applicable)				
	(Month/Day/Year)	X Director 10% Owner				
	12/31/2017	_X_Officer (give title Other (specify				
330 SOUTH FOURTH STREET		below) below) Chairman, President & CEO				
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Reporting				
	Filed(Month/Day/Year)					

(check applicable line)

#### \_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	le I - Non-Der	ivative Se	curiti	es Acq	uired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	l (A) of l of (D 4 and (A) or	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/04/2017	Â	G	828	D	\$0	122,666 <u>(1)</u>	D	Â Shares held
Common Stock	12/04/2017	Â	G	69	A	\$ 0	10,113	I	by Bruce C. Gottwald, Jr., as trustee fbo Mark Haywood Gottwald u/a dtd. 10/18/95

Common Stock	12/04/2017	Â	G	105	A	\$0	10,218	I	Shares held by Bruce C. Gottwald, Jr., as trustee fbo Mark Haywood Gottwald u/a dtd. 10/18/95
Common Stock	12/18/2017	Â	G	71	А	\$0	122,737	D	Â
Common Stock	12/18/2017	Â	G	71	A	\$0	4,598	Ι	Shares held by wife
Common Stock	Â	Â	Â	Â	Â	Â	33,093.08	Ι	NewMarket Savings Plan
Common Stock	Â	Â	Â	Â	Â	Â	185,937 <u>(1)</u>	I	By grantor retained annuity trust
Common Stock	Â	Â	Â	Â	Â	Â	500	I	Shares held for reporting person's son Mark Haywood Gottwald
Common Stock	Â	Â	Â	Â	Â	Â	6,889	Ι	Shares held as co-trustee fbo reporting person's children u/a dtd. 12/16/91
Common Stock	Â	Â	Â	Â	Â	Â	63,195	Ι	Shares held as co-trustee fbo reporting person's children u/a dtd. 4/8/94
Common Stock	Â	Â	Â	Â	Â	Â	212,407	I	Shares held as co-trustee fbo (among others reporting Floyd D. Gottwald)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Deri Secu	ivative	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. of D Sc B O E I S Fi (I
						(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
r o o transmissione and the second	Director	10% Owner	Officer	Other				
GOTTWALD THOMAS E 330 SOUTH FOURTH STREET RICHMOND, VA 23219	0 SOUTH FOURTH STREET Â X							
Signatures								
/s/ M. Rudolph West (by Power of Attorney for Thomas E. Gottwald) 02/14/2018								
<u>**</u> Signature of Reportin	Date							

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On September 6, 2017, the reporting person transferred 36,557 shares from his grantor retained annuity trust to his direct holdings.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.