FARLEY JR JAMES D

Form 4

March 06, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction

1(b).

(Print or Type Responses)

1. Name and Addr FARLEY JR JA	•	ng Person *	2. Issuer Name and Ticker or Trading Symbol FORD MOTOR CO [F]	5. Relationship of Reporting Person(s) to Issuer		
(Last) (First) (Middle)			3. Date of Earliest Transaction	(Check all applicable)		
			(Month/Day/Year)	Director 10% Owner		
ONE AMERICAN ROAD			03/02/2018	X Officer (give title Other (specify below)		
(Street)			4. If Amendment, Date Original 6. Individual or Joint/Grou			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		
DEARBORN,	MI 48126			Form filed by More than One Reportin Person		

(City)	(State)	Zip) Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$0.01 par value	03/02/2018		Code V M(1)	Amount 24,893	(D)	Price (1)	428,304	D	
Common Stock, \$0.01 par value	03/02/2018		F(2)	75,555	D	\$ 10.4	352,749	D	
Common Stock, \$0.01 par value	03/03/2018		M <u>(1)</u>	21,326	A	(1)	374,075	D	

Edgar Filing: FARLEY JR JAMES D - Form 4

Common Stock, \$0.01 par value	03/04/2018	M <u>(1)</u>	17,499	A	(1)	391,574	D
Common Stock, \$0.01 par value	03/04/2018	A(3)	95,726	A	<u>(3)</u>	487,300	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Ford Stock Units	(1)	03/04/2018		M <u>(1)</u>		17,499	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	17,499
Ford Stock Units	(1)	03/03/2018		M(1)		21,326	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	21,320
Ford Stock Units	(1)	03/02/2018		M(1)		24,893	<u>(1)</u>	<u>(1)</u>	Common Stock, \$0.01 par value	24,893
Ford Stock Units	<u>(4)</u>	03/02/2018		A <u>(4)</u>	146,923		<u>(4)</u>	<u>(4)</u>	Common Stock, \$0.01 par value	146,92

Reporting Owners

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other

Reporting Owners 2

FARLEY JR JAMES D ONE AMERICAN ROAD DEARBORN, MI 48126

Executive Vice President

Signatures

Jerome F. Zaremba, Attorney-in-Fact

03/06/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction involved the conversion, without payment by me, of Ford Stock Units into shares of Common Stock under the Company's Long-Term Incentive Plan.
- These shares were withheld by the Company to cover my income tax liability relating to March 2, 2018, March 3, 2018, and March 4, 2018 vesting of awards of Common Stock under the Company's Long-Term Incentive Plan. The amount withheld for taxes for each award was determined using the closing price of Ford stock on March 2, 2018.
- (3) These shares were acquired under the Company's Long-Term Incentive Plan without payment by me and are a final award related to a 2015 performance-based restricted stock unit opportunity.
- These Ford Restricted Stock Units were acquired under the Company's Long-Term Incentive Plan without payment by me. These Ford

 (4) Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/02/2018), 66% after two years, and in full after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3