BROWN CURTIS Form 4

March 06, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

0.5

**OMB APPROVAL** 

Section 16.
Form 4 or
Form 5
obligations
may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction 1(b).

Common

Common

Stock

Stock

03/02/2018

03/02/2018

(Print or Type Responses)

1. Name and Address of Reporting Per BROWN CURTIS	2. Issuer Name and Ticker or Trading Symbol DUN & BRADSTREET CORP/NW [DNB]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Mide		Director 10% Owner Self-content & Tech Officer Chief Content & Tech Officer			
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
SHORT HILLS, NJ 07078  (City) (State) (Zi	Filed(Month/Day/Year)  Table I - Non-Derivative Securities Acq	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  [uired, Disposed of, or Beneficially Owned]			
(Instr. 3) an	A. Deemed  3. 4. Securities Acquired Execution Date, if Transaction(A) or Disposed of (D)  Code (Instr. 3, 4 and 5)  Month/Day/Year)  (A)  or  Code V Amount (D) Price	5. Amount of Securities Ownership Indirect Beneficially Form: Direct Owned (D) or Ownership Indirect (I) (Instr. 4) Transaction(s) (Instr. 3 and 4)			
Common 03/02/2018 Stock	A 658 A \$0	9,790 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

F

(1)

568 (2) D

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10,833

10,265

D

D

\$0

#### Edgar Filing: BROWN CURTIS - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number to for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Leveraged Restricted Stock Units	(1)	03/02/2018		M	1,046 (1)	<u>(1)</u>	03/02/2018	Common Stock	1,043 (1)

## **Reporting Owners**

Director 10% Owner Officer Other

BROWN CURTIS 103 JFK PARKWAY SHORT HILLS, NJ 07078

Chief Content & Tech Officer

#### **Signatures**

/s/ Karen K. Pettiford-Wilson for Curtis D. Brown by POA previously filed

03/06/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the vesting and payout of the third tranche (1/3) of the leveraged restricted stock units (3,138) granted on March 2, 2015 at 99.8% of target based on the issuer's stock price performance during the performance period from 1/1/2015 12/31/2017.
- (2) To satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded, shares were withheld from the vested amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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