

Paul David C
Form 4
April 30, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Paul David C

(Last) (First) (Middle)

VALLEY FORGE BUSINESS
CENTER, 2560 GENERAL
ARMISTEAD AVENUE

(Street)

AUDUBON, PA 19403

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

GLOBUS MEDICAL INC [GMED]

3. Date of Earliest Transaction
(Month/Day/Year)

04/26/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Executive Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/26/2018		M	18,461	A \$ 4.88	1,096,461	D
Class A Common Stock	04/26/2018		S ⁽¹⁾	18,461	D \$ 51.8068 (2)	1,078,000	D
Class A Common Stock	04/26/2018		M	18,461	A \$ 11.8625	1,096,461	D
Class A	04/26/2018		S ⁽¹⁾	18,461	D \$	1,078,000	D

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Common Stock					51.8068 <u>(2)</u>		
Class A Common Stock	04/26/2018	M	18,461	A	\$ 10.66	1,096,461	D
Class A Common Stock	04/26/2018	<u>S</u> ⁽¹⁾	18,461	D	\$ 51.8068 <u>(2)</u>	1,078,000	D
Class A Common Stock	04/26/2018	M	15,000	A	\$ 15.34	1,093,000	D
Class A Common Stock	04/26/2018	<u>S</u> ⁽¹⁾	15,000	D	\$ 51.8068 <u>(2)</u>	1,078,000	D
Class A Common Stock	04/26/2018	M	48,000	A	\$ 13.04	1,126,000	D
Class A Common Stock	04/26/2018	<u>S</u> ⁽¹⁾	48,000	D	\$ 51.8068 <u>(2)</u>	1,078,000	D
Class A Common Stock	04/26/2018	M	60,000	A	\$ 23.95	1,138,000	D
Class A Common Stock	04/26/2018	<u>S</u> ⁽¹⁾	60,000	D	\$ 51.8068 <u>(2)</u>	1,078,000	D
Class A Common Stock	04/26/2018	M	98,958	A	\$ 24.1	1,176,958	D
Class A Common Stock	04/26/2018	<u>S</u> ⁽¹⁾	98,958	D	\$ 51.8068 <u>(2)</u>	1,078,000	D
Class A Common Stock	04/26/2018	M	40,625	A	\$ 25.52	1,118,625	D
Class A Common Stock	04/26/2018	<u>S</u> ⁽¹⁾	40,625	D	\$ 51.8068 <u>(2)</u>	1,078,000	D
Class A Common Stock	04/26/2018	M	43,750	A	\$ 26.27	1,121,750	D
Class A Common Stock	04/26/2018	<u>S</u> ⁽¹⁾	43,750	D	\$ 51.8068 <u>(2)</u>	1,078,000	D

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Class A Common Stock	04/26/2018	<u>S</u> (1)	363,284	D	\$ 51.8068 (2)	714,716	D
Class A Common Stock	04/27/2018	<u>S</u> (1)	29,066	D	\$ 51.7831 (3)	685,650	D
Class A Common Stock	04/30/2018	<u>S</u> (1)	72,938	D	\$ 51.8053 (4)	612,712	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy Class A Common Stock)	\$ 4.88	04/26/2018		M		18,461		<u>(5)</u>	08/06/2019	Class A Common Stock	18,461
Stock Option (Right to Buy Class A Common Stock)	\$ 11.8625	04/26/2018		M		18,461		<u>(6)</u>	06/16/2020	Class A Common Stock	18,461
Stock Option (Right to Buy	\$ 10.66	04/26/2018		M		18,461		<u>(7)</u>	10/27/2021	Class A Common Stock	18,461

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Class A Common Stock)									
Stock Option (Right to Buy Class A Common Stock)	\$ 15.34	04/26/2018	M	15,000	<u>(8)</u>	08/29/2022	Class A Common Stock	15,000	
Stock Option (Right to Buy Class A Common Stock)	\$ 13.04	04/26/2018	M	48,000	<u>(9)</u>	01/24/2023	Class A Common Stock	48,000	
Stock Option (Right to Buy Class A Common Stock)	\$ 23.95	04/26/2018	M	60,000	<u>(10)</u>	01/23/2024	Class A Common Stock	60,000	
Stock Option (Right to Buy Class A Common Stock)	\$ 24.1	04/26/2018	M	98,958	<u>(11)</u>	01/20/2025	Class A Common Stock	98,958	
Stock Option (Right to Buy Class A Common Stock)	\$ 25.52	04/26/2018	M	40,625	<u>(12)</u>	01/25/2026	Class A Common Stock	40,625	
Stock Option (Right to Buy Class A Common Stock)	\$ 26.27	04/26/2018	M	43,750	<u>(13)</u>	01/30/2027	Class A Common Stock	43,750	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Paul David C VALLEY FORGE BUSINESS CENTER 2560 GENERAL ARMISTEAD AVENUE AUDUBON, PA 19403	X	X	Executive Chairman	

Signatures

/s/ Eric I. Schwartz,
Attorney-in-Fact

04/30/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was effected pursuant to a Rule 10b5-1 trading plan executed by the reporting person.

(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.80 to \$52.155, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

(3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.75 to \$51.8558, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (3) to this Form 4.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.75 to \$51.935, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (4) to this Form 4.

(5) These options were granted on August 6, 2009, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2010, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(6) These options were granted on June 16, 2010, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2011, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(7) These options were granted on October 27, 2011, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2012, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(8) These options were granted on August 29, 2012, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2013, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(9) These options were granted on January 24, 2013, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2014, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(10) These options were granted on January 23, 2014, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2015, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(11)

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These options were granted on January 20, 2015, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2016, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

- (12) These options were granted on January 25, 2016, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2017, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

- (13) These options were granted on January 30, 2017, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2018, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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