### Edgar Filing: COCKRELL D MICHAEL - Form 4

| COCKRELL D M<br>Form 4  | IICHAEL  |                  |   |  |   |   |   |  |  |  |  |  |
|---|--|------------------|---|--|---|---|---|--|--|--|--|--|
| October 19, 2018  |  |                  |   |  |   |   |   |  |  |  |  |  |
| FORM 4  |  |                  |   |  |   |   |   |  |  | PPROVAL  |  |  |
|   | UNITED S   | STATES           |   | ITIES Al<br>hington,                             |   |   | NGE   | COMMISSION   | N OMB<br>Number:   | 3235-0287  |  |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or  | STATEMENT OF CHANGES IN BENEFICIAL OWN<br>SECURITIES |                  |   |  |   |   |   |  | Expires:<br>Estimated<br>burden hor<br>response                      | ours per   |  |  |
| Form 5<br>obligations<br>may continue.<br><i>See</i> Instruction<br>1(b). | -  | ) of the I       | Public Uti  |  | ing Com   | pany  | Act c   | ge Act of 1934,<br>of 1935 or Sectio<br>40   | on   |  |  |  |
| (Print or Type Respon   | ises)  |                  |   |  |   |   |   |  |  |  |  |  |
| COCKRELL D MICHAEL Sy SA  |  |                  | 2. Issuer Name and Ticker or Trading<br>Symbol<br>SANDERSON FARMS INC<br>[SAFM] |  |   |   |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |  |  |  |  |
| (Last) (l   | First) (M  | iddle)           |   | Earliest Transaction                             |   |   |   | X Director 10% Owner<br>X Officer (give title Other (specify   |  |  |  |  |
| 127 FLYNT ROAD  |  |                  | 10/17/2018  |  |   |   |   | below) below)<br>Treasurer and CFO   |  |  |  |  |
|   |  |                  | nendment, Date Original<br>Ionth/Day/Year)                                      |  |   |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line) |  |  |  |  |  |
| LAUREL, MS 39   | 9443   |                  | rneu(mon  | 11/Day/1eal)                                     |   |   |   | _X_ Form filed by  | One Reporting P<br>More than One R                                   |  |  |  |
| (City) (S   | State) (2  | Zip)             | Table   | e I - Non-Do                                     | erivative S   | Securi                                      | ities Ac  | quired, Disposed o   | of, or Beneficia   | ally Owned   |  |  |
|   | ransaction Date<br>nth/Day/Year)                     | Execution<br>any | ned<br>n Date, if   | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V | 4. Securit<br>nAcquired<br>Disposed<br>(Instr. 3, - | ies<br>(A) o<br>of (D<br>4 and<br>(A)<br>or | er<br>P)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial                                 |  |  |
| Common<br>Stock 10/1  | 17/2018  |                  |   | А  | 5,000   | А   | <b>\$ 0</b><br>(1)  | 92,156   | D  |  |  |  |
| Common<br>Stock   |  |                  |   |  |   |   | _   | 2,862.84 (2)   | I  | Allocated to<br>Reporting<br>Person's<br>Account in<br>Issuer<br>ESOP. |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) |                     | ate                | Amou<br>Unde<br>Secur | le and<br>int of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans |
|---|---|---|---------------------------------------|--|---------------------|--------------------|-----------------------|---|---|---|
|   |   |   | Code V                                | of (D)<br>(Instr. 3,<br>4, and 5)<br>(A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares            |   | (Instr  |

## **Reporting Owners**

| Reporting Owner Name / Address                           | Relationships |           |                   |       |  |  |  |  |
|--|---------------|-----------|-------------------|-------|--|--|--|--|
| r. o   | Director      | 10% Owner | Officer           | Other |  |  |  |  |
| COCKRELL D MICHAEL<br>127 FLYNT ROAD<br>LAUREL, MS 39443 | Х             |           | Treasurer and CFO |       |  |  |  |  |
| Signatures   |               |           |                   |       |  |  |  |  |

/s/ D. Michael Cockrell \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported transaction was a grant by the Issuer of restricted stock. Thus, there was no price.

(2) Reflects allocations not reported on the Reporting Person's previous ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.